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PUBLIC.RESOURCE.ORG

UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

PUBLIC.RESOURCE.ORG,

Plaintiff,

v.

SHEET METAL AND AIR CONDITIONING  
CONTRACTORS' NATIONAL  
ASSOCIATION, INC.

Defendant.

C 13 0815  
Case No.: \_\_\_\_\_

COMPLAINT FOR DECLARATORY  
AND INJUNCTIVE RELIEF

JURY TRIAL DEMANDED

1 Plaintiff Public.Resource.Org, Inc. ("Public Resource"), by and through its attorneys, brings  
2 this action and alleges against Defendant Sheet Metal and Air Conditioning Contractors National  
3 Association ("SMACNA"), as follows:

4 **NATURE OF ACTION AND RELIEF SOUGHT**

5 1. This is a declaratory judgment action to vindicate the right of the public to access,  
6 read, speak, and thereby use the law. Specifically, it seeks to vindicate Public Resource's right to  
7 post online a technical manual, the 1985 HVAC Air Duct Leakage Test Manual ("the 1985  
8 manual"), that has been explicitly incorporated into federal and state law and that articulates  
9 specific standards and installation and testing requirements regarding heating, ventilation, and air  
10 conditioning systems.

11 2. Public Resource is a non-profit organization dedicated to improving citizens' ability  
12 to access the laws and codes that govern their lives. As part of this work, Public Resource acquires  
13 and makes readily available to the public various codes and standards that have been incorporated  
14 into federal and state laws, such as fire safety codes, pipeline safety standards, and food safety  
15 standards.

16 3. By improving public access to governing codes, Public Resource helps enable  
17 citizens, businesses, journalists, consumer advocates, researchers, and others to educate themselves  
18 regarding rules affecting the public.

19 4. To advance its mission, on or about July 4, 2012, Public Resource posted a copy of  
20 the 1985 manual on its website, <http://law.resource.org>. As set forth below, the 1985 manual has  
21 been incorporated into state and federal law. A true and correct copy of the manual as posted is  
22 attached hereto as **Exhibit A**.

23 5. On or about January 10, 2013, Public Resource received a copyright takedown  
24 notice from SMACNA's agent, Contributor Corporation of San Mateo, California, pursuant to the  
25 Digital Millennium Copyright Act, alleging that the public posting of the 1985 manual on Public  
26 Resource's website constituted copyright infringement. Public Resource responded, explaining  
27  
28



1 that it had not violated copyright law. A true and correct copy of this correspondence is attached  
2 hereto as **Exhibit B**.

3 6. On February 8, 2013, Public Resource received a letter (dated February 5, 2013)  
4 from Jon L. Farnsworth, counsel for SMACNA, asserting that the posting violated SMACNA's  
5 copyright and threatening legal action if the posting was not removed by February 14, 2013. On  
6 February 9, 2013, Public Resource removed the 1985 manual from its website, left the cover sheet  
7 posted, and added at that URL, <https://law.resource.org/pub/us/cfr/ibr/005/smacna.hvac.1985.pdf>,  
8 the correspondence between representatives of SMACNA and Public Resource. A true and correct  
9 copy of the letter from Mr. Farnsworth to Mr. Malamud is attached hereto as **Exhibit C**. A true  
10 and correct copy of the correspondence as posted on Public Resource's website is attached hereto  
11 as **Exhibit D**.

12 7. Technical manuals like the 1985 manual at issue in this case, explicitly adopted by  
13 federal regulation, have the force of law and impose affirmative obligations on citizens. As much  
14 as landmark health care acts or Supreme Court civil rights decisions, these technical  
15 requirements—for building, electrical, plumbing, transportation—touch the lives of Americans  
16 every day. Business owners, workers, and consumers need to know these directives in order to  
17 operate their businesses lawfully, to avoid penalties, and to determine whether neighbors,  
18 contractors, or competitors are in compliance.

19 8. Public Resource brings this action for declaratory and injunctive relief to clarify the  
20 rights of the parties and to refute SMACNA's assertions of copyright infringement.

## 21 **PARTIES**

22 9. Plaintiff Public Resource, based in Sebastopol, California, is a California non-profit  
23 corporation with its principal place of business at Public.Resource.Org, 1005 Gravenstein Hwy.  
24 North, Sebastopol, CA 95472.

25 10. Defendant Sheet Metal and Air Conditioning Contractors National Association  
26 ("SMACNA") is a self-described international association of union contractors and a "standards-  
27 setting organization" with its headquarters in at 4201 Lafayette Center Drive, Chantilly, Virginia  
28

20151-1219. According to its website, SMACNA has 1,834 members in 103 chapters throughout the United States, Canada, Australia and Brazil, including eight chapters in California, including three Bay Area Chapters. SMACNA conducts substantial business in California.

### JURISDICTION

11. This action arises under the copyright laws of the United States, 17 U.S.C. §§ 101, *et seq.* and the United States Constitution. This Court has subject matter jurisdiction over these claims pursuant to 28 U.S.C. §§ 1331 and 1338 and the Declaratory Judgment Act, 28 U.S.C. § 2201.

12. This Court has personal jurisdiction over Defendant SMACNA because SMACNA conducts regular business in California, because SMACNA issued its copyright threat to Public Resource in California, and because its agent for copyright takedowns, Attributor Corporation, is based on California.

### VENUE AND INTRADISTRICT ASSIGNMENT

13. Venue for this action is proper under 28 U.S.C. §1391(b)(2).

14. This is an intellectual property action. Pursuant to Local Rule 3-2(c), it shall be assigned on a district-wide basis.

### FACTUAL ALLEGATIONS

#### Public Resource

15. Public Resource is a non-profit corporation dedicated to making primary legal materials and other important government records available to the public.

16. Public Resource also helps further the purposes of the Freedom of the Information Act (FOIA), 5 U.S.C. § 552. Under FOIA, materials, such as standards and technical requirements, that are incorporated by reference into a federal regulation are deemed effectively published only if such directives are “reasonably available to the class of persons affected thereby.” 5 U.S.C. § 552(a)(1), 1 CFR(a)(4). In the age of the Internet, making such directives “reasonably available” means, at a minimum, posting them on the Internet for citizens to readily access without charge.

**Sheet Metal and Air Conditioning Contractors' National Association (SMACNA)**

17. On information and belief, part of SMACNA's mission is to create industry standards, including technical requirements, and to ensure that they are nationally adopted, particularly through incorporation into government regulations.

18. On information and belief, SMACNA standards address all facets of the sheet metal industry, from duct construction and installation to air pollution control, from energy recovery to roofing. SMACNA's Technical Resources Department fields several thousand technical questions annually from architects, engineers, manufacturers and government personnel.

19. According to the SMACNA Membership Benefits document available on its website at [http://www.smacna.org/pdf/about/membership\\_benefits.pdf](http://www.smacna.org/pdf/about/membership_benefits.pdf):

SMACNA is a trade association and an association is made up of people. Association people join together to concentrate their collective efforts on the needs and problems of an industry, society, or cause. By uniting, they combine their talents and resources to address and satisfy needs and seek resolution to problems that they are unable to satisfactorily address individually. By joining together they are able to consolidate their influence and power to affect change. This collective power can be effective in a variety of ways. The most common application of this collective influence for construction associations is in the area of labor relations, most notably collective bargaining and unity, for the express purpose of achieving equitable employment conditions. But the same unity can be used to affect positive impact in business management educational endeavors; legislative influence; industry regulatory conditions, such as code requirements, project specification development, and installation procedures. The application of this collective influence can be initiated at the local, state, regional, national, and international levels. The potential for positive impact in all of these areas and at all of these levels of influence is awesome.

By coming together to form a local sheet metal contractors association you start down the path of building a power base to influence the environment in which each of you conducts business. In affiliating as a Chapter of SMACNA, you expand your power base to the national level. The flow of power or potential influence runs both ways you increase the power base of SMACNA and SMACNA provides you with expanded power influencers.

A true and correct copy of the SMACNA Membership Benefits document is attached hereto as **Exhibit E.**



20. According to SMACNA's website at <http://www.smacna.org/about/>:

The voluntary technical standards and manuals developed by SMACNA Contractors have found worldwide acceptance by the construction community, as well as foreign government agencies. ANSI, the American National Standards Institute, has accredited SMACNA as a standards-setting organization. SMACNA does not seek to enforce its standards or provide accreditation for compliance.

A true and correct copy of the webpage "About SMACNA" is attached hereto as **Exhibit F**.

21. On or about February 20, 2003, SMACNA issued a "technical paper" entitled "Building Code Update." The paper discusses the effort by the International Code Council (ICC) "to develop a single set of comprehensive and coordinated national [building] codes" that could be used in all of the United States. According to the paper, linked to on SMACNA's website at [http://www.smacna.org/technical/download.cfm?download\\_file=icc-nfpabuildingcodes2-03.pdf](http://www.smacna.org/technical/download.cfm?download_file=icc-nfpabuildingcodes2-03.pdf):

The ICC Codes benefit SMACNA members & building industry professionals by now assisting them to move into different regions within the U.S. and international environment with a single set of model codes. SMACNA's participation in the ICC code setting process ensured that the SMACNA Standards currently utilized in the HVAC industry would be included as the basis for duct construction. After the three model code organizations united to form the ICC and provided the first and only complete set of building codes for the country, the Department of Defense (DoD) recognized the enormous benefits this simplification could provide to military construction and is working to build its criteria, standards, and guide specifications around commercially developed consensus codes, and bring its design practices more in line with those of the private sector....

SMACNA's support is for a single set of model codes with all relevant code organizations participating in that effort. We believe that by participating in both the ICC and NFPA 5000 Building Code process that we again see the formation of a final product of standards that will serve to enhance the public's confidence in building code officials and keep this nation's competitive edge in the evolving global market.

A true and correct copy of the SMACNA Building Code Update is attached hereto as **Exhibit G**.

A description of this technical paper on the SMACNA website (at <http://www.smacna.org/technical/index.cfm?fuseaction=papers>) states:

This technical paper reviews the Model Building Code process of the International Code Council (ICC) and National Fire Protection Association (NFPA) Building 5000 Code and addresses SMACNA

National's position with regards to the efforts of the code community to develop a single set of comprehensive and coordinated national codes. SMACNA National has long been involved in the code setting process to ensure that the SMACNA Standards currently utilized by the HVAC industry would be included as the basis for duct construction.

A true and correct copy of this technical paper is attached hereto as **Exhibit H**.

22. SMACNA stated in its newsletter dated November 7, 2003 (available at [http://www.smacna.org/news/news\\_preview.cfm?id=5112](http://www.smacna.org/news/news_preview.cfm?id=5112)):

The American National Standards Institute (ANSI) announced that SMACNA's "Round Industrial Duct Construction Standards," second edition, has been approved as an American National Standard.

ANSI recognition increases the potential that SMACNA's standards are internationally adopted for industry and regulatory use. The approval will also encourage wider domestic use of SMACNA's standard by state- and local-code governing bodies as well as the design and engineering community. The new ANSI status will also enhance SMACNA's overall credibility as a standards-developing organization, both domestically and internationally.

A true and correct copy of this newsletter is attached hereto as **Exhibit I**.

#### **Incorporation Into Law of the 1985 Manual**

23. The 1985 manual identifies leakage limits for ducts and outlines procedures for testing ducts for conformity with air leakage limits set forth in project specifications.

24. Managing air duct leakage is crucial to energy conservation and is an integral part of model codes such as the International Energy Conservation Code, as well as a key part of the Department of Energy-specified energy conservation efforts that resulted in incorporation by reference of the 1985 manual.

25. The 1985 manual was incorporated into a final regulation issued by the U.S. Department of Energy on October 6, 2000. *See* 65 Federal Register 60000-01.

26. The incorporation by reference of the 1985 manual, as codified at Title 10 of the Code of Federal Regulations, section 434.403.2.9.3, states, in part:

403.2.9.3 Duct and Plenum Construction. All air-handling ductwork and plenums shall be constructed and erected in accordance with RS-34, RS-35, and RS-36 (incorporated by reference, see § 434.701 )....

A true and correct copy of 10 CFR section 434.403.2.9.3 is attached hereto as **Exhibit J**.



1 Section 434.701 of Title 10 CFR identifies RS-36 to be: "HVAC Air Duct Leakage Test Manual,  
2 1st edition, 1985, Sheet Metal and Air-Conditioning Contractors' National Association, Inc., 4201  
3 Lafayette Center Drive, Chantilly, VA 20151." A true and correct copy of 10 CFR section 434.701  
4 is attached hereto as **Exhibit K**.

5 27. Pursuant to Section 51 of 1 CFR, the Director of the Federal Register is required to  
6 approve each instance of incorporation by reference requested by federal agencies.

7 28. The federal government's incorporation by reference of the 1985 manual was  
8 undertaken after assessment by technical experts, publication of a notice in the Federal Register,  
9 comments by members of the public and industry and technical experts, followed by a  
10 determination by the Department of Energy that Incorporation by Reference was appropriate and  
11 necessary and then approval of that incorporation by the Office of the Federal Register.

12 29. As a standard that has been expressly incorporated by reference into the Code of  
13 Federal Regulations, the 1985 HVAC Air Duct Leakage Test Manual is the law of the United  
14 States, and compliance with the 1985 manual is mandatory.

15 30. Title 19 of The New York Code, Rules and Regulations (NYCRR), Part 1240,  
16 incorporates by reference the 1985 manual. A true and correct copy of 19 NYCRR 1240.1, which  
17 indicates that "a publication entitled Energy Conservation Construction Code of New York State,  
18 publication date: August 2010, published by the International Code Council, Inc." is incorporated  
19 into Part 1240 by reference, is attached as **Exhibit L**. A true and correct copy of a page on the  
20 website of the International Code Council, Inc., which indicates that the 1985 manual has been  
21 incorporated into the New York City Rules and Regulations, Part 1240, is attached hereto as  
22 **Exhibit M**.

23 31. The Minnesota Energy Code, Chapter 7676, incorporates by reference the 1985  
24 manual. See Section 7676.0400 Subpart 1(H) ("The following standards and references are  
25 incorporated by reference... H. HVAC Air Duct Leakage Test Manual, Section 4, 1985 edition, as  
26 published by the Sheet Metal and Air Conditioning Contractors National Association, Inc., Vienna,  
27  
28



1 Virginia.”). A true and correct copy of the Minnesota Energy Code, Chapter 7676, is attached  
 2 hereto as **Exhibit N**.

3 32. The Washington Administrative Code, WAC 51-11-0503, Section 503.10.1, makes  
 4 compliance with the 1985 manual mandatory. A true and correct copy of the Washington State  
 5 Entergy Code, WAC 51-11-0503, Section 503.10.1, is attached hereto as **Exhibit O**.

6 33. On information and belief, the United States does not make the 1985 manual  
 7 available to the general public for free, either online or on request.

8 34. On information and belief, SMACNA does not make the 1985 manual available to  
 9 the general public for free, either online or on request.

10 35. On May 3, 2012, Public Resource purchased a paper copy of the 1985 manual from  
 11 SMACNA’s online store for the sum of \$64.00, plus \$9.98 shipping, for a total of \$73.98.

12 36. On or about July 4, 2012, Public Resource posted the 1985 manual online in pdf  
 13 format on its website at <https://law.resource.org/pub/us/cfr/ibr/005/smacna.hvac.1985.pdf>.

14 37. Prepended to the 1985 manual, Public Resource included a cover sheet which stated,  
 15 in relevant part:

16 By the Authority Vested By Part 5 of the United States Code § 552(a) and  
 17 Part 1 of the Code of Regulations § 51 the attached document has been  
 18 duly INCORPORATED BY REFERENCE and shall be considered legally  
 19 binding upon all citizens and residents of the United States of America.

20 HEED THIS NOTICE: Criminal penalties may apply for noncompliance.

21 Document Name: SMACNA: HVAC Air Duct Leakage Test Manual

22 CFR Section(s): 10 CFR 434.403.2.9.3

23 Standards Body: Sheet Metal and Air Conditioning Contractors National  
 24 Association

#### 25 **SMACNA’S Threats and Demands for Removal**

26 38. On January 11, 2013, Eraj Siddiqui of Attributor Corporation sent a “DMCA Notice  
 27 of Copyright Infringement” by email to Carl Malamud, President of Public Resource, demanding,  
 28

on behalf of SMACNA, that Public Resource remove the 1985 manual from the Public Resource website. *See Exhibit B.*

39. On January 11, 2013, Carl Malamud replied by email to Mr. Siddiqui explaining that the publication of the 1985 manual did not infringe copyright because that manual had been incorporated into law. *See Exhibit D.*

40. On February 8, 2013, attorney Jon L. Farnsworth, representing SMACNA, emailed a letter, dated February 5, 2013, to Carl Malamud, *see Exhibit C.* The letter stated in part that if the 1985 manual

remains on your organization's webpage after February 14, 2013, SMACNA intends to pursue its legal action against your organization to the full extent permitted by law. SMACNA reaffirms its copyright protection in the Publication and reiterates its demand for your organization to immediately remove the infringing material from your website.

The letter from Mr. Farnsworth further states (emphasis in original):

With that being said, your organization should take comfort in knowing that the public may receive copies of the applicable *portions* of SMACNA's Publication referenced by the CFR by requesting them directly from the government at no charge. Alternatively, members of the public may purchase SMACNA's educational materials, guides, and other publications at <http://smacna.org/bookstore/>.

41. On information and belief, the 1985 manual is no longer available for purchase online at <http://smacna.org/bookstore/>, the SMACNA website cited by Mr. Farnsworth.

42. On information and belief, The HVAC Air Duct Leakage Test Manual, 2nd Edition, published in 2012, is available for purchase at <http://smacna.org/bookstore/> for the price of \$104.

43. The 1985 manual remains the document incorporated by reference in federal regulations and thus is the law of the United States.

44. On February 9, 2013, Public Resource removed the 1985 manual from its website, left the cover sheet posted, and added at that URL, <https://law.resource.org/pub/us/cfr/ibr/005/smacna.hvac.1985.pdf>, the correspondence between Mr. Siddiqui, Mr. Malamud, and Mr. Farnsworth.



**FIRST CAUSE OF ACTION**

**[Declaratory Relief Pursuant to 28 U.S.C. § 2201, *et seq.* (Declaratory Judgment Act) and the Copyright Act (Title 17 of the U.S. Code)]**

45. Plaintiff incorporates by reference the allegations in each of the preceding paragraphs as if fully set forth in this paragraph.

46. There is a real and actual controversy between Plaintiff and Defendant regarding whether posting the 1985 manual constitutes infringement of a copyright lawfully owned or administered by Defendant. SMACNA's conduct has forced Public Resource to choose between fulfilling its public mission and risking legal liability. The controversy between Public Resource and SMACNA is thus real and substantial and demands specific relief through a decree of a conclusive character, namely that Public Resource may re-post the 1985 manual without legal liability.

47. Public Resource's publication of the 1985 manual, and its proposed republication thereof, was and is lawful, consistent with the Copyright Act of the United States of America, the United States Constitution, and judicial decisions construing such laws, doctrines, and provisions.

48. Public Resource is entitled to declaratory judgment that the publication of the 1985 manual does not violate copyright law.

**PRAYER FOR RELIEF**

**Wherefore, Plaintiff prays for judgment against the Defendant as follows:**

1. For a declaration that Public Resource's publication of the 1985 manual online does not infringe any copyright interest held by SMACNA;
2. For an order enjoining SMACNA, its agents, attorneys, and assigns from asserting a copyright claim against Public Resource in connection with publication of the 1985 manual;
3. For costs of suit incurred herein, including reasonable attorneys' fees; and
4. For such other and further relief as the Court may deem just and proper.

Plaintiff requests a jury trial on all issues triable to a jury in this matter.

1 Dated: February 21, 2013

By:

2 

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16 *Attorneys for Plaintiff Public.Resource.Org*



# Exhibit A

# Exhibit A

# CERTIFICATE

## By Authority Of THE UNITED STATES OF AMERICA Legally Binding Document

By the Authority Vested By Part 5 of the United States Code § 552(a) and Part 1 of the Code of Regulations § 51 the attached document has been duly INCORPORATED BY REFERENCE and shall be considered legally binding upon all citizens and residents of the United States of America. HEED THIS NOTICE: Criminal penalties may apply for noncompliance.



**Document Name:** SMACCNA: HVAC Air Duct Leakage Test Manual

**CFR Section(s):** 10 CFR 434.403.2.9.3

**Standards Body:** Sheet Metal and Air Conditioning Contractors  
National Association



Official Incorporator:

THE EXECUTIVE DIRECTOR  
OFFICE OF THE FEDERAL REGISTER  
WASHINGTON, D.C.



# **HVAC AIR DUCT LEAKAGE TEST MANUAL**

1ST EDITION—1985



**SHEET METAL AND AIR CONDITIONING CONTRACTORS'  
NATIONAL ASSOCIATION, INC.**

**4201 Lafayette Center Drive  
Chantilly, VA 20151-1209**

# **HVAC AIR DUCT LEAKAGE TEST MANUAL**

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All Rights Reserved  
by**

**SHEET METAL AND AIR CONDITIONING CONTRACTORS'  
NATIONAL ASSOCIATION, INC.**

**4201 Lafayette Center Drive  
Chantilly, VA 20151-1209**

**Printed in the U.S.A.**

**FIRST EDITION—1985**

**2<sup>nd</sup> Printing—February 1988  
3<sup>rd</sup> Printing—July 1989  
4<sup>th</sup> Printing—September 1990  
5<sup>th</sup> Printing—August 1993  
6<sup>th</sup> Printing—March 1997  
7<sup>th</sup> Printing—January 2003**

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## FOREWORD

SMACNA has published a procedure for leakage testing of so-called medium and high pressure ductwork since January 1965. It appeared in Chapter 10 of the high velocity (later high pressure) construction standards and in Chapter 8 of the *Balancing and Adjustment of Air Distribution Systems Manual* of 1967 vintage. In the 1970's energy conservation measures led to a decline in the use of truly high pressure commercial HVAC systems. Now, greater concern with the amount of leakage in systems of less pressure has evolved.

New research in the leakage rates of sealed and unsealed ductwork has disclosed a need for a better method of evaluating duct leakage. European countries introduced an evaluation approach using the surface area of the duct and the pressure in the duct as the basic parameters. SMACNA has concluded that this approach is far superior to the arbitrary assignment of a percentage of fan flow rate as a leakage criteria. The surface area basis highlights the effect of system size and is now the keynote of new SMACNA duct leakage classifications. It is expected that in the future industry will have correlated leakage classes with performance of particular sealant methods used on individual joint systems.

Leakage testing on job sites disrupts productivity, is costly and is generally not as beneficial as one might expect. Relatedly, industry fails to recognize the extent that equipment that is inserted in-line in duct leaks. Few ratings for this are published. Designers must account for equipment leakage separately from duct leakage allowances as they evaluate system leakage. SMACNA encourages designers to specify equipment leakage control and to rely on prescriptive sealing of ductwork as measures that will normally lead to effective control of leakage without the need for extensive leakage testing.

Application of the information and guidance herein should facilitate design, improve system performance and reduce the difficulty of testing and balancing newly installed systems. SMACNA expressed appreciation to all of those whose knowledge and effort led to the introduction of this new publication.

SHEET METAL AND AIR CONDITIONING CONTRACTORS'  
NATIONAL ASSOCIATION, INC.





## DUCT CONSTRUCTION STANDARDS TASK FORCE

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## NOTICE TO USERS OF THIS PUBLICATION

### 1. DISCLAIMER OF WARRANTIES

a) The Sheet Metal and Air Conditioning Contractors' National Association ("SMACNA") provides its product for informational purposes.

b) The product contains "Data" which is believed by SMACNA to be accurate and correct but the data, including all information, ideas and expressions therein, is provided strictly "AS IS", with all faults. SMACNA makes no warranty either express or implied regarding the Data and SMACNA EXPRESSLY DISCLAIMS ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR PARTICULAR PURPOSE.

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This document or publication is prepared for voluntary acceptance and use within the limitations of application defined herein, and otherwise as those adopting it or applying it deem appropriate. It is not a safety standard. Its application for a specific project is contingent on a designer or other authority defining a specific use. SMACNA has no power or authority to police or enforce compliance with the contents of this document or publication and it has no role in any representations by other parties that specific components are, in fact, in compliance with it.

### 3. AMENDMENTS

The Association may, from time to time, issue formal interpretations or interim amendments, which can be of significance between successive editions.

### 4. PROPRIETARY PRODUCTS

SMACNA encourages technological development in the interest of improving the industry for the public benefit. SMACNA does not, however, endorse individual manufacturers or products.

### 5. FORMAL INTERPRETATION

a) A formal interpretation of the literal text herein or the intent of the technical committee or task force associated with the document or publication is obtainable only on the basis of written petition, addressed to the Technical Resources Department and sent to the Association's national office in Chantilly, Virginia. In the event that the petitioner has a substantive disagreement with the interpretation, an appeal may be filed with the Technical Resources Committee, which has technical oversight responsibility. The request must pertain to a specifically identified portion of the document that does not involve published text which provides the requested information. In considering such requests, the Association will not review or judge products or components as being in compliance with the document or publication. Oral and written interpretations otherwise obtained from anyone affiliated with the Association are unofficial. This procedure does not prevent any committee or task force chairman, member of the committee or task force, or staff liaison from expressing an opinion on a provision within the document, provided that such person clearly states that the opinion is personal and does not represent an official act of the Association in any way, and it should not be relied on as such. The Board of Directors of SMACNA shall have final authority for interpretation of this standard with such rules or procedures as they may adopt for processing same.

b) SMACNA disclaims any liability for any personal injury, property damage, or other damage of any nature whatsoever, whether special, indirect, consequential or compensatory, direct or indirectly resulting from the publication, use of, or reliance upon this document. SMACNA makes no guaranty or warranty as to the accuracy or completeness of any information published herein.

### 6. APPLICATION

a) Any standards contained in this publication were developed using reliable engineering principles and research plus consultation with, and information obtained from, manufacturers, users, testing laboratories, and others having specialized experience. They are



subject to revision as further experience and investigation may show is necessary or desirable. Construction and products which comply with these Standards will not necessarily be acceptable if, when examined and tested, they are found to have other features which impair the result contemplated by these requirements. The Sheet Metal and Air Conditioning Contractors' National Association and other contributors assume no responsibility and accept no liability for the application of the principles or techniques contained in this publication. Authorities considering adoption of any standards contained herein should review all federal, state, local, and contract regulations applicable to specific installations.

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**SECTION 1**

**INTRODUCTION**



**SECTION 1****INTRODUCTION**

1.1 This document identifies certain leakage limits for ducts and outlines procedures for testing ducts for conformity with air leakage limits that are set forth in a designer's project specification. This document is not an endorsement of routine use of testing. Leakage testing is generally an unjustified major expense that is unnecessary when proper methods of assembly and sealing are used. Visual inspection for application of such proper methods will ordinarily suffice for verification of reasonably tight construction. Under any circumstances reasonable allowances for leakage must be adopted because no duct is absolutely airtight.

1.2 The sealing provisions contained in the SMACNA *HVAC Duct Construction Standards—Metal and Flexible*, 1995 second edition, are reproduced here for convenient understanding of use of prescriptive measures. Consult the SMACNA Fibrous Glass Duct Construction Standards for fibrous glass duct assembly. Closures of joints and seams in fibrous glass ducts rely on taped adhesive systems to make connections, in contrast with metal ducts which use mechanical locks for connection and use sealants for supplemental leakage control.

1.3 Duct leakage reduces the air quantities at terminal points unless the total air quantity is adjusted to compensate. Leakage should be considered a transmission loss in duct systems. The farther air is conveyed the greater the loss will be. Key variables that affect the amount of leakage are:

- a. Static pressure, not velocity pressure. (The higher the pressure the more leakage will occur.)
- b. The amount of duct (the more duct the more opportunity for leakage there will be).
- c. The openings in the duct surface (the major contributors are joints and seams although access doors, rod penetrations and fastener penetrations also contribute).
- d. Workmanship (poor workmanship undermines the best construction standards).

It is practical to relate leakage to duct surface area. Although rates of loss per foot of seam, per diameter of hole or per dimension of crack can be evaluated, duct surface area is the simplest parameter by which to evaluate system leakage. Furthermore, research (in Europe and independently in the United States) has led to the conclusion that within acceptable tolerances, a

duct surface leakage factor can be identified by the following relationship.

$$F = C_L P^N$$

where

F is a leak rate per unit of duct surface area (typically cfm/100 s.f.)

$C_L$  is a constant

P is static pressure (typically in inches water gage)

N is an exponent (most typically it is 0.65 but in some cases it is 0.5 to 0.9)

The new SMACNA Leakage Classifications are based on this leakage factor relationship. Whether the designer uses the rates identified or prefers other constants, it is practical to evaluate leakage by this method.

#### **1.4 DUCT CONSTRUCTION AND INSTALLATION STANDARDS**

##### **SI.0 General Requirements**

SI.1 These construction and installation specifications and illustrations include:

- a. single-prescription method requirements,
- b. optional alternatives, and
- c. performance requirements for specific items that are different in detail from the generalized illustrations.

SI.2 These standards are not meant to exclude any products or methods that can be demonstrated to be equivalent in performance for the application. Substitutions based on sponsor demonstrated adequacy and approval of the regulating authority are recognized.

SI.3 These requirements presume that the designers have prepared contract drawings showing the size and location of ductwork, including permissible fitting configurations. Where area change, direction change, divided flow, or united flow fittings other than those illustrated here are shown on the contract drawings, are not of proprietary manufacture, and are defined with friction loss coefficients in either the SMACNA *HVAC Duct System De-*



- sign manual or the ASHRAE Fundamentals Handbook* chapter on duct design, such fittings shall be fabricated with materials, assembly techniques, and sealing provisions given here.
- S1.4** EACH DUCT SYSTEM SHALL BE CONSTRUCTED FOR THE SPECIFIC DUCT PRESSURE CLASSIFICATIONS SHOWN ON THE CONTRACT DRAWINGS. WHERE NO PRESSURE CLASSES ARE SPECIFIED BY THE DESIGNER, THE 1" WATER GAGE (250 Pa) PRESSURE CLASS IS THE BASIS OF COMPLIANCE WITH THESE STANDARDS, REGARDLESS OF VELOCITY IN THE DUCT, EXCEPT WHEN THE DUCT IS VARIABLE VOLUME: ALL VARIABLE VOLUME DUCT UPSTREAM OF VAV BOXES HAS A 2" WG (500 Pa) BASIS OF COMPLIANCE WHEN THE DESIGNER DOES NOT GIVE A PRESSURE CLASS.
- S1.5** No specification or illustration in this manual obliges a contractor to supply any volume control dampers, fire dampers, smoke dampers, or fittings that are not shown on contract drawings.
- S1.6** Where dimensions, sizes, and arrangements of elements of duct assembly and support systems are not provided in these standards the contractor shall select configurations suitable for the service.
- S1.7** The contractor shall follow the application recommendations of the manufacturer of all hardware and accessory items and select them to be consistent with the duct classification and services.
- S1.8** Unless otherwise specified steel sheet and strip used for duct and connectors shall be G-60 coated galvanized steel of lockforming grade conforming to ASTM A653 and A924 standards. Minimum yield strength for steel sheet and reinforcements is 30,000 psi (207 kPa).
- S1.9** Where sealing is required in Table 1-1 or in other tables or illustrations in this manual, it means the following:
- a. The use of adhesives, gaskets, tape systems, or combinations of these to close openings in the surface of the ductwork and field-erected plenums and casings through which air leakage would occur or the use of continuous welds.
  - b. The prudent selection and application of sealing methods by fabricators and installers, giving due consideration to the designated pressure class, pressure mode (positive or negative), chemical compatibility of the closure system, potential movement of mating parts, workmanship, amount and type of handling, cleanliness of surfaces, product shelf life, curing time, and manufacturer-identified exposure limitations.
  - c. That these provisions apply to duct connections to equipment and to apparatus but are not for equipment and apparatus.



SEAL CLASS	Sealing Requirements	Applicable Static Pressure Construction Class
A	All Transverse joints, longitudinal seams, and duct wall penetrations	4" wg and up (1000 Pa)
B	All Transverse joints and longitudinal seams only	3" wg (750 Pa)
C	Transverse joints only	2" wg (500 Pa)
In addition to the above, any variable air volume system duct of 1" (250 Pa) and ½" wg (125 Pa) construction class that is upstream of the VAV boxes shall meet Seal Class C.		

Table 1-1 Standard Duct Sealing Requirements

- d. That where distinctions are made between seams and joints, a seam is defined as joining of two longitudinally (in the direction of air-flow) oriented edges of duct surface material occurring between two joints. Helical (spiral) lock seams are exempt from sealant requirements. All other duct wall connections are deemed to be joints. Joints include but are not limited to girth joints, branch and subbranch intersections, so-called duct collar tap-ins, fitting subsections, louver and air terminal connections to ducts, access door and access panel frames and jambs, and duct, plenum, and casing abutments to building structures
- e. Unless otherwise specified by the designer, that sealing requirements do not contain provisions to:
  1. resist chemical attack;
  2. be dielectrically isolated;
  3. be waterproof, weatherproof, or ultraviolet ray resistant;
  4. withstand temperatures higher than 120°F (48°C) or lower than 40°F (4.4°C);
  5. contain atomic radiation or serve in other safety-related construction;
  6. be electrically grounded;
  7. maintain leakage integrity at pressures in excess of their duct classification;
  8. be underground below the water table;
  9. be submerged in liquid;
  10. withstand continuous vibration visible to the naked eye;
  11. be totally leakfree within an encapsulating vapor barrier; and
  12. create closure in portions of the building structure used as ducts, such as ceiling plenums, shafts, or pressurized compartments;
- f. The requirements to seal apply to both positive and negative pressure modes of operation
- g. Externally insulated ducts located outside of buildings shall be sealed before being insulated, as though they were inside. If air leak sites in ducts located outside of buildings are exposed to weather, they shall receive exterior duct sealant. An exterior duct sealant is defined as a sealant that is marketed specifically as forming a positive air- and watertight seal, bonding well to the metal involved, remaining flexible with metal movement, and having a service temperature range of -30°F (-34°C) to 175°F (79°C). If exposed to direct sunlight, it shall also be ultraviolet ray- and ozone-resistant or shall, after curing, be painted with a compatible coating that provides such resistance. The term sealant is not limited to adhesives or mastics but includes tapes and combinations of open-weave fabric or absorbent strips and mastics.





## 1.5 DUCT SEALING COMMENTARY

Ducts must be sufficiently airtight to ensure economical and quiet performance of the system. It must be recognized that airtightness in ducts cannot, and need not, be absolute (as it must be in a water piping system). Codes normally require that ducts be reasonably airtight. Concerns for energy conservation, humidity control, space temperature control, room air movement, ventilation, maintenance, etc., necessitate regulating leakage by prescriptive measures in construction standards. Leakage is largely a function of static pressure and the amount of leakage in a system is significantly related to system size. Adequate airtightness can normally be ensured by a) selecting a static pressure construction class suitable for the operating condition, and b) sealing the ductwork properly.

The designer is responsible for determining the pressure class or classes required for duct construction and for evaluating the amount of sealing necessary to achieve system performance objectives. It is recommended that all duct constructed for the 1" (250 Pa) and ½" (125 Pa) pressure class meet Seal Class C. However, because designers sometimes deem leakage in unsealed ducts not to have adverse effects, the sealing of all ducts in the 1" (250 Pa) and ½" (125 Pa) pressure class is not required by this construction manual. Designers occasionally exempt the following from sealing requirements: small systems, residential occupancies, ducts located directly in the zones they serve, ducts that have short runs from volume control boxes to diffusers, certain return air ceiling plenum applications, etc. When Seal Class C is to apply to all 1" (250 Pa) and ½" (125 Pa) pressure class duct, the designer must require this in the project specification. The designer should review the *HVAC Air Duct Leakage Test Manual* for estimated and practical leakage allowances.

Seven pressure classes exist (½"wg [125 Pa], 1" [250 Pa], 2" [500 Pa], 3" [750 Pa], 4" [1000 Pa], 6" [1500 Pa] and 10" [2500 Pa]). If the designer does not designate pressure class for duct construction on the contract drawings, the basis of compliance with the SMACNA *HVAC Duct Construction Standards* is as follows: 2"wg [500 Pa] wg for all ducts between the supply fan and variable volume control boxes and 1"wg [250 Pa] for all other ducts of any application.

Some sealants can adversely affect the release function of breakaway connections to fire dampers; consult the damper manufacturer for installation restrictions.

### 1.5.1 Leakage Tests

There is no need to verify leakage control by field testing when adequate methods of assembly and sealing are used. Leakage tests are an added expense in system installation. It is not recommended that duct systems constructed to 3" (750 Pa) wg class or lower be tested because this is generally not cost effective. For duct systems constructed to 4" (1000 Pa) wg class and higher, the designer must determine if any justification for testing exists. If it does, the contract documents must clearly designate the portions of the system(s) to be tested and the appropriate test methods. ASHRAE energy conservation standards series 90 text on leakage control generally requires tests only for pressures in excess of 3" (750 Pa).

The *HVAC Air Duct Leakage Test Manual* provides practical and detailed procedures for conducting leakage tests.

Apparent differences of about ten percent between fan delivery and sum of airflow measurements at terminals do not necessarily mean poor sealing and excess leakage. Potential accuracy of flow measurements should be evaluated.

Otherwise, open access doors, unmade connections, missing end caps, or other oversights contribute to such discrepancies. When air terminals are at great distances from fans (over 500 feet [152m]), more effective sealing is probably required to avoid diminished system performance.

Schools, shopping centers, airports, and other buildings may use exposed ductwork. Selecting sealing systems for such ducts may involve more attention to the final appearance of the duct system than with ducts in concealed spaces.

Certain types of paint may form reliable seals, particularly for small cracks and holes. Further research and confirmation is needed in this area.

Longstanding industry acceptance of so-called low pressure duct systems without sealants may have left some contractors (and designers) with little or no experience with sealing. The contractor should carefully select construction details consistent with sealing requirements, the direction of the air pressure, and familiar sealing methods. The cost of restoring systems not receiving the required sealing or not being properly sealed can greatly exceed the modest cost of a proper application. Contractors using slip and drive connection systems must control connector length and notch depth on rectangular duct ends to facilitate sealing.

Failure to do so will compromise seal effectiveness. Round duct joints are normally easier to seal than other types. However, with proper attention to joint selection, workmanship, and sealant application, almost any joint can achieve low leakage. The mere presence of sealant at a connection, however, does not ensure low leakage. Applying sealant in a spiral lockseam can result in poor seam closure and less satisfactory control. No single sealant is the best for all applications. Selecting the most appropriate sealant depends primarily on the basic joint design and on application conditions such as joint position, clearances, direction of air pressure in service, etc.

The listing of certain duct products by recognized test laboratories may be based on the use of a particular joint sealing product. Such a component listing only reflects laboratory test performance and does not necessarily mean that the closure method can routinely be successful for the contractor or that it will withstand in-service operation of the system on a long-term basis.

### 1.5.2 Liquids

Many manufacturers produce liquid sealants specifically for ducts. They have the consistency of heavy syrup and can be applied either by brush or with a cartridge gun or powered pump. Liquid sealants normally contain 30 to 60 percent volatile solvents; therefore, they shrink considerably when drying. They are recommended for slip-type joints where the sealant fills a small space between the overlapping pieces of metal. Where metal clearances exceed  $\frac{1}{16}$  inch (1.6 mm), several applications may be necessary to fill the voids caused by shrinkage or runout of the sealant. These sealants are normally brushed on to round slip joints and pumped into rectangular slip joints.

### 1.5.3 Mastics

Heavy mastic sealants are more suitable as fillets, in grooves, or between flanges. Mastics must have excellent adhesion and elasticity. Although not marketed specifically for ductwork, high quality curtain wall sealants have been used for this application. Oilbase caulking and glazing compounds should not be used.

### 1.5.4 Gaskets

Durable materials such as soft elastomer butyl or extruded forms of sealants should be used in flanged joints. For ease of application, gaskets should have adhesive backing or otherwise be tacky enough to ad-

here to the metal during joint assembly. The choice of open cell or closed cell rubber gaskets depends on the amount and frequency of compression and on the elastic memory.

### 1.5.5 Tapes

Nothing in this standard is intended to unconditionally prohibit the use of pressure sensitive tapes. Several such closures are listed as components of systems complying with UL Standard 181 tests. There are no industry recognized performance standards that set forth peel adhesion, shear adhesion, tensile strength, temperature limits, accelerated aging, etc., which are quality control characteristics specifically correlated with metal duct construction service. However, the *SMACNA Fibrous Glass Duct Construction Standards* illustrate the closure of a fibrous duct to metal duct with a tape system. The variety of advertised products is very broad. Some test results for tapes are published in the product directories of the Pressure Sensitive Tape Council located in Chicago, IL.

The shelf life of tapes may be difficult to identify. It may be only six months or one year. Although initial adhesion may appear satisfactory, the aging characteristics of these tapes in service is questionable. They tend to lose adhesion progressively at edges or from exposures to air pressure, flexure, the drying effects at the holes or cracks being sealed, etc. The tape's adhesive may be chemically incompatible with the substrate, as is apparently the case with certain nonmetal flexible ducts. Application over uncured sealant may have failures related to the release of volatile solvents. Sea air may have different effects on rubber, acrylic, silicone-based (or other) adhesives.

Tapes of a gum-like consistency with one or two removable waxed liners have become popular for some applications. They are generally known as the peel and seal variety and have been used between flanges and on the exterior of ducts. Such tapes are typically of thicknesses several times that of tapes traditionally known as the pressure sensitive type. Some may have mesh reinforcement. Others may have metal or non-metal backing on one surface.

### 1.5.6 Heat Applied Materials

Hot melt and thermally activated sealants are less widely known but are used for ductwork. The hot melt type is normally a shop application. Thermally activated types use heat to either shrink-fit closures or to expand compounds within joint systems.





### 1.5.7 Mastic and Embedded Fabric

There are several combinations of woven fabrics (fibrous glass mesh, gauze, canvas, etc.) and sealing compounds (including lagging adhesive) that appear better suited for creating and maintaining effective seals than sealant alone. Glass fabric and Mastic (GFM) used for fibrous glass duct appears to adhere well to galvanized steel.

### 1.5.8 Surface Preparation

Surfaces to receive sealant should be clean, meaning free from oil, dust, dirt, rust, moisture, ice crystals, and other substances that inhibit or prevent bonding. Solvent cleaning is an additional expense. Surface primers are now available, but their additional cost may not result in measurable long-term benefits.

### 1.5.9 Sealant Strength

No sealant system is recognized as a substitute for mechanical attachments. Structural grade adhesive systems are being developed to replace spot welded and soldered connections of metals. They have lap shear strengths of 1000 to 5000 psi (6895 to 34475 kPa) or

more. SMACNA is not able to comprehensively define their characteristics at this time; however, authorities are encouraged to monitor their development progress and consider their use.

### 1.5.10 Shelf Life

The shelf life of all sealant products may be one year or less; often it is only six months. The installer is cautioned to verify that the shelf life has not been exceeded.

### 1.5.11 Safety Considerations

Sealant systems may be flammable in the wet, partially cured, or cured state.

USE LIQUIDS AND MASTICS IN WELL VENTILATED AREAS AND OBSERVE PRINTED PRECAUTIONS OF MANUFACTURERS.

The contractor should carefully consider the effects of loss of seal and fire potential when welding on or near sealed connections. NFPA Standard 90A requires adhesives to have a flame spread rating not over 25 and a smoke developed rating not over 50.

*Reprinted from pages 1.8 – 1.12 SMACNA HVAC Duct Construction Standards — 2nd Ed., 1995*





**SECTION 2**

**RESPONSIBILITIES**

**SECTION 2****RESPONSIBILITIES****2.1 The duct system designer should:**

- a. Match the fan to the system pressure losses.
- b. Designate the pressure class or classes for construction of each duct system, as appropriate and cost effective, and clearly identify these *in the contract document*.
- c. Evaluate the leakage potential for ducts conforming to SMACNA or other standards and supplement the requirements therein with deletions and additions as may be prudent and economical, giving due attention to the location of the ducts, the type of service, the equipment, dampers and accessories in the system, the tolerances on air balance and the performance objectives. He must account for leakage in equipment such as fans, coils, volume regulating boxes, etc., independently of duct leakage.
- d. Prudently specify the amount and manner of leakage testing (if testing is deemed justified) and clearly indicate the acceptance criteria.
- e. Reconcile all significant inconsistencies between his performance specifications and his prescription specifications before releasing contract documents for construction.
- f. Avoid ambiguity created by references to non-specific editions of SMACNA or other documents he has specified.
- g. Have his contract documents reflect a clear scope of work known by him to conform to applicable codes and regulations, including those addressing energy conservation.

- h. Require adequate submittals and recordkeeping to insure that work in progress conforms to the contract documents in a timely manner.

**2.2 The ductwork installer should:**

- a. Comply with the contract documents.
- b. Provide all required preconstruction and after-installation submittals.
- c. Report discovery of conflicts and ambiguities, etc., in a timely manner.
- d. Schedule any required leakage tests in a timely manner, with appropriate notice to authorities.
- e. Seal duct where and as specified.
- f. Examine the leakage criteria, the specified duct construction classes, and the testing and balancing specifications for consistency!
- g. Select duct construction options and sealing methods that are appropriate and compatible, giving due consideration to the size of the system.
- h. Control workmanship.
- i. Acquire increased understanding of the nature and amount of leakage and of the methods and costs of sealing and leak testing, especially the amount of preparation time inherent in demonstrating a successful test.
- j. Demonstrate that following prescriptive measures for construction precludes the need for leak testing.



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**SECTION 3**

**GENERAL PROCEDURES**



**SECTION 3****GENERAL PROCEDURES**

- 3.1 Conventional leak testing is based on positive pressure mode analysis. It involves inserting temporary plugs (plates, sheets, balloons, bags, etc.) in openings in a section of duct and connecting a blower and a flowmeter to the specimen in such a manner that pressurizing the specimen will cause all air escaping from the specimen to pass through the flowmeter.
- 3.2 Select a test pressure not in excess of the pressure class rating of the duct.
- 3.3 Calculate the allowable or allocated leakage using leakage factors related to the duct surface area.
- 3.4 Select a limited section of duct for which the estimated leakage will not exceed the capacity of the test apparatus.
- 3.5 Connect the blower and flowmeter to the duct section and provide temporary seals at all open ends of the ductwork.
- 3.6 To prevent overpressurizing of the ducts, start the blower with the variable inlet damper closed. Controlling pressure carefully, pressurize the duct section to the required level.
- 3.7 Read the flowmeter and compare the leakage in cfm per square foot with the allowable rate determined in step 3.3. If it meets the allowable rate proceed to step 3.8. If it does not meet the allowable rate follow steps 3.7a through 3.7c.
  - a. Inspect the pressurized duct (and all connections between the flowmeter and the duct) for all sensible leaks. A smoke bomb test may be used to identify actual leak sources. If necessary apply a soap solution to locate small leaks.
  - b. Depressurize; repair all audible and other significant leaks. If the first pressurization failed to develop the required test pressure level and significant leak sites were not discovered, consider the following alternatives: Divide the specimen being tested into smaller segments or use larger test apparatus.
  - c. Allow repaired seals to cure and retest until the leakage rate is acceptable.
- 3.8 Complete test reports and, if required, obtain witness's signature.
- 3.9 Remove temporary blanks and seals.
- 3.10 Precautions
  - a. Verify that an adequate and matched electric power source is available for the test apparatus.
  - b. Determine that the capacity of the test apparatus is suitable for the amount of duct to be tested.
  - c. Consider acquiring experience with leakage rates in the type of construction used before formally conducting field tests. This is especially advisable if the contractor has little experience with testing, is attempting to meet allowable rates much lower than normal, is including equipment in the test or is dealing with unfamiliar duct construction.
  - d. Isolate equipment (fans, in-line flanged coils, volume regulating boxes, etc.) from tested ductwork. The system designer should have independently accounted for leakage in equipment.
  - e. Anticipate difficulty with any test of ductwork that has no prescription for sealing yet is required to meet an allowable leakage level.
  - f. Do not overpressurize ducts. Provide pressure control or pressure relief if test apparatus behavior is unfamiliar; e.g., start test apparatus with flow restricted and gradually build up pressure.
  - g. Do not test uncured seals.
  - h. Prepare carefully when testing in cold weather. Low temperature influences the effectiveness of sealants and gaskets.
  - i. Instruct installers to use special care when assembling ducts that will be relatively inaccessible for repair.
  - j. Conduct required tests before external insulation is applied and before ducts are concealed by building enclosures.
  - k. Do not overlook leakage potential at access doors.
  - l. Do not leave test apparatus unattended.
  - m. Avoid panic by informing occupants and bystanders when you will conduct smoke tests.



- n. Avoid excessive blanking, consistent with industry practice, by testing prior to installation of collars for room air terminals.
- o. Take testing seriously; work sequence, work duration and costs can be significantly affected.



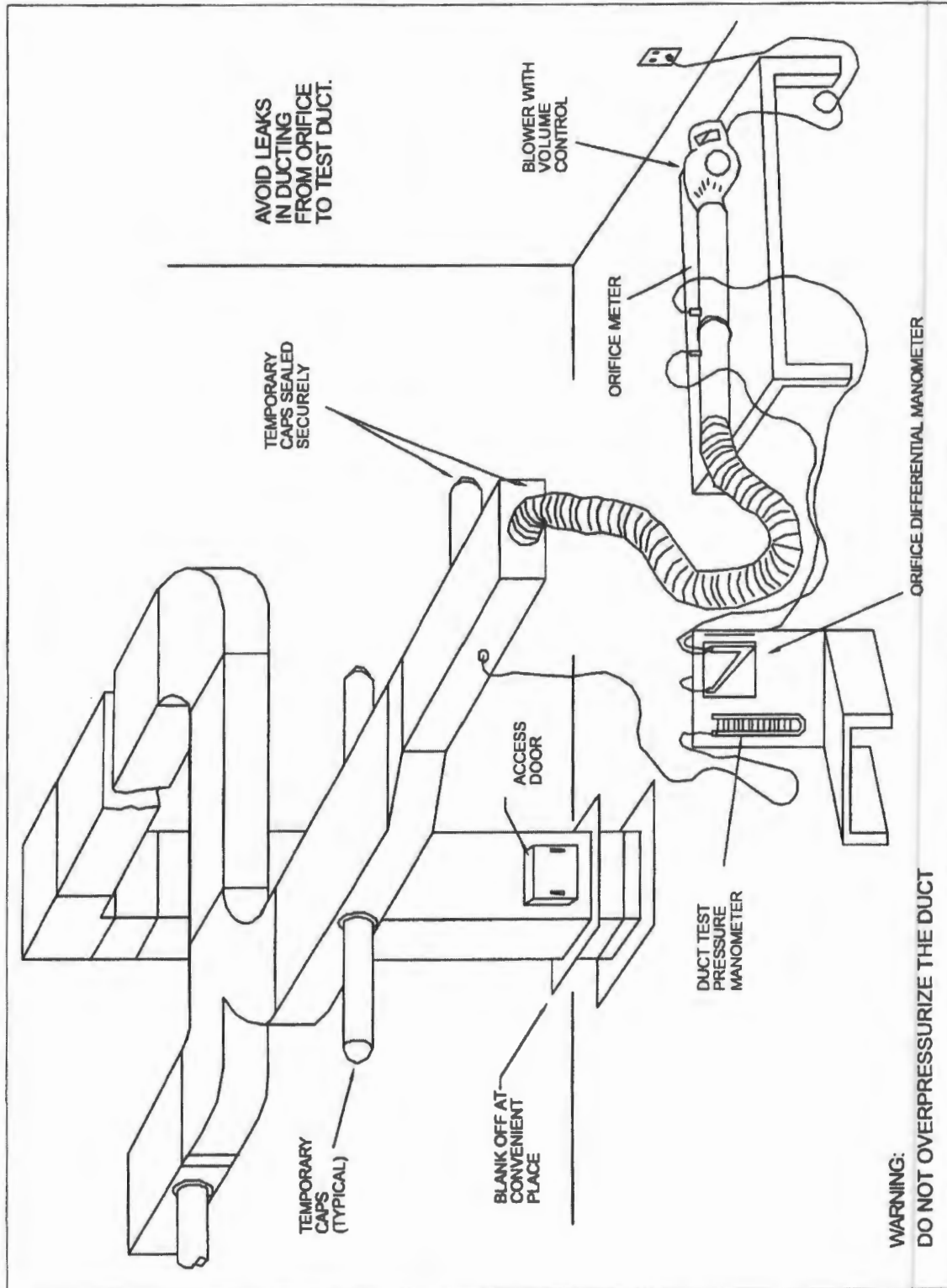


FIGURE 3-1 ILLUSTRATION OF TESTING



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**SECTION 4**

**LEAKAGE CLASSIFICATION**

**SECTION 4****LEAKAGE CLASSIFICATION**

- 4.1 Leakage classification identifies a permissible leakage rate in cfm per 100 square feet of duct surface according to the relationship  $C_L = F + (P)^{0.65}$  as defined in section 1.3.

F is the leakage rate in cfm/100 s.f. of duct surface (It varies with static pressure).

P is the static pressure. Values for  $(P)^{0.65}$  are given in Appendix E. When  $P = 1$ ,  $C_L = F$ .

$C_L$  is the leakage class and is a constant.

- 4.2 Leakage classifications 3, 6, 12, 24 and 48 are shown in Figure 1 for pressures up to 10" wg. They are associated with duct type, seal classes, and construction pressure classes in Table 4-1. Table 4-1 is the basis of evaluating duct conforming to the SMACNA duct construction standards unless a specifier gives other limits.

- 4.3 If, at the specified test pressure, the leakage factor (F), by test, is lower than or equal to that associated with the specified leakage class, the duct is in compliance. Alternatively, if the leakage constant ( $C_L$ ) determined from tests is lower than or equal to the specified leakage class, the duct is in compliance.

- 4.4 Assignment of leakage classes involves careful consideration of system size, duct location, sealing and construction class. Arbitrary assignment of an allowable % of leakage in disregard of these factors can indicate unobtainable results. A ½% allowance, for example, on a 3900 cfm system with 1300 s.f. of duct or on a 39,000 cfm system with 13,000 s.f. of duct would mean an unrealistic leakage factor of 1.5 cfm/100 s.f. in each case. Similarly, arbitrary assignment of 10" wg class construction for a system operating at 1" wg in order to get leak class

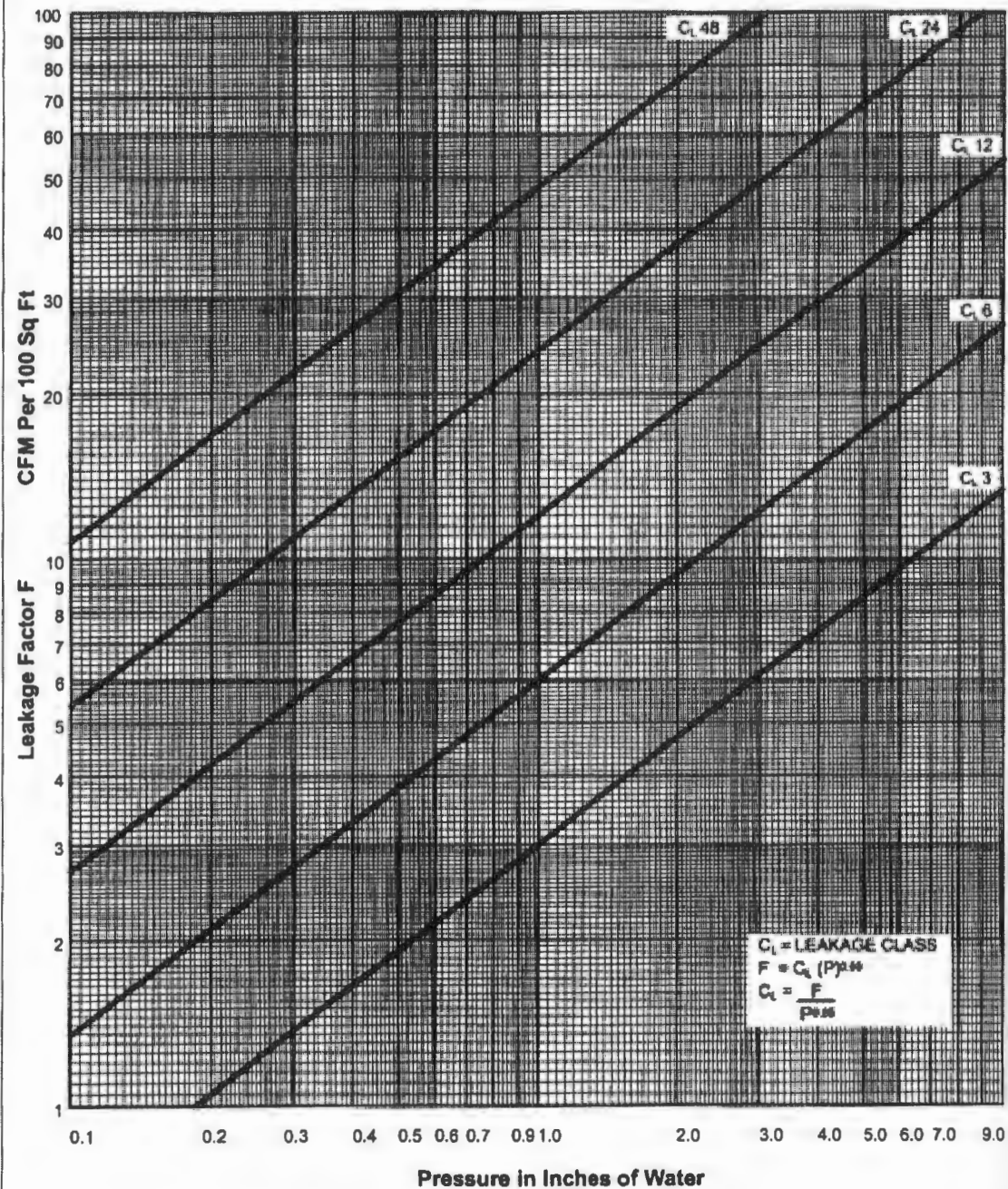
3 rectangular duct would not be cost effective. Assigning a leakage class 3 to a 1" wg rectangular duct system may address an achievable result but the associated difficulty and costs will be excessive. Table 4-1 represents the leakage expected using Seal Classes A, B, and C as indicated on duct construction of the types typically selected for each pressure class. Conceivably Seal Class B or A could be applied at construction pressure classes lower than indicated in Table 4-1. However, unless joint type, seam type, duct wall thickness and specific sealing method were already collectively prequalified by tests (or by an acceptable experience record at a higher pressure) leakage rate is less predictable. The benefits of setting allowable leakage rates lower than shown in Table 4-1 should be carefully weighed against the costs of achieving them.

- 4.5 A sample leakage classification analysis is given in Appendix B.

- 4.6 No leakage tests are required by the SMACNA duct construction standards or by this leakage test manual. When the designer has only required leakage tests to be conducted in accordance with the *SMACNA HVAC Air Duct Leakage Test Manual* for verification that the leakage classifications in Table 1 have been met (and has given no other criteria and scope), he is deemed to have not fulfilled the responsibilities outlined in section 2.1 for providing a clear scope of work. When duct construction pressure classes are not identified in the contract drawings and the amount of leakage testing is not set forth in the contract documents, any implied obligation of the installer to fulfill the responsibilities under section 2.2 in regard to leakage are deemed to be waived by defective specification.







SEE TABLE 4-1 FOR ASSOCIATED DUCT CONSTRUCTION CLASS  
 SEE APPENDIX E FOR TABULAR FORM OF FIGURE 4-1

**FIGURE 4-1 DUCT LEAKAGE CLASSIFICATION**

DUCT CLASS	½", 1", 2" wg	3" wg	4", 6", 10" wg
SEAL CLASS	C	B	A
SEALING APPLICABLE	TRANSVERSE JOINTS ONLY	TRANSVERSE JOINTS AND SEAMS	JOINTS, SEAMS AND ALL WALL PENETRATIONS
LEAKAGE CLASS			
RECTANGULAR METAL	24	12	6
ROUND METAL	12	6	3

Table 4-1 Applicable Leakage Classes

## NOTES:

1. Leakage classes in Table 4-1 apply when the designer does not designate other limits and has specified Seal Class C for ½" and 1" wg. See text on sealing in the HVAC-DCS manual.
2. Unsealed rectangular metal duct may follow Leakage Class 48.
3. Fibrous glass duct may follow Leakage Class 6 (at 2" wg or less).
4. Unsealed flexible duct leakage average is estimated to be Class 30. Sealed nonmetal flexible duct is an average of Class 12.
5. See SMACNA HVAC Duct Systems Design Manual Table 5-1 for longitudinal seam leakage rates.
6. Although Seal Class A or B might be assigned for lower pressures, the leakage class may not conform to those associated with the higher pressure. Other construction details influence results.
7. Leakage Class ( $C_L$ ) is defined as being the leakage rate (cfm/100 s.f.) divided by  $P^{0.65}$  where P is the static pressure (in wg). When P is numerically equal to 1" the leakage rate is  $C_L$ . See Figure 4-1.
8. The duct pressure classification is not the fan static pressure nor the external static pressure (on an HVAC unit) unless the system designer has made such an assignment in his contract documents. Unless construction class is otherwise specified it means a static pressure classification in the SMACNA HVAC-DCS. Those classifications pertain to maximum operating pressure in the duct as follows:
  - 0.5" wg maximum
  - 0.6" to 2" wg maximum
  - 1.1" to 2" wg maximum
  - 2.1" to 3" wg maximum
  - 3.1" to 4" wg maximum
  - 4.1" to 6" wg maximum
  - 6.1" to 10" wg maximum





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**SECTION 5**

**TEST APPARATUS**

**SECTION 5****TEST APPARATUS**

- 5.1 Test apparatus shall consist of an airflow measuring device, flow producing unit, pressure indicating devices and accessories necessary to connect the metering system to the test specimen.
- 5.2 The contractor conducting tests shall arrange for or provide all temporary services, all test apparatus, all temporary seals and all qualified personnel necessary to conduct the specified testing.
- 5.3 Test apparatus shall be accurate within plus or minus 7.5% at the indicated flow rate and test pressure and shall have calibration data or a certificate signifying manufacture of the meter in conformance with the ASME Requirements for Fluid Meters. ASME qualified orifice meters do not require calibration.
- 5.4 Unless otherwise specified, test apparatus shall be used as outlined in this section, as described in Section 3 and as recommended for good practice.
- 5.5 Typical construction and use of orifice meters is indicated in Figures 5-1 and 5-2. Typical orifice selections are shown in Figure 5-3.
- 5.6 The use of flow nozzles, venturi meters, laminar flow meters, rotameters, Pitot tube meters or other meters having equivalent accuracy and suitability is not prohibited by the references herein to orifice meters.
- 5.7 The recommended minimum thicknesses for orifice plates in tubes of various diameters are  $\frac{1}{16}$ " to 6" diameter,  $\frac{3}{32}$ " to 12" diameter and  $\frac{1}{8}$ " for larger diameters. Steel or stainless steel plate material is preferable. Plates shall be flat and have holes with square edges (90°) that are free of burrs. Orifice openings shall be centered in the meter tube. Plates shall be perpendicular to the flow path and shall be free of leaks at points of attachment.
- 5.8 Taps for static pressure indication across orifices shall be made with  $\frac{1}{16}$ " to  $\frac{1}{8}$ " diameter holes drilled neatly in the meter tube wall. The interior of the tube shall be smooth and free of projections at the drilled holes.
- 5.9 Pressure differential sensing instruments shall be readable to 0.05" scale division for flow rates below 10 cfm or below 0.5" wg differential. For higher flow scale divisions of 0.1" are appropriate. U-tube manometers should not be used for readings less than 1" of water.
- 5.10 Liquid for manometers shall have a specific gravity of 1 (as water) unless the scale is calibrated to read in inches of water contingent on use of a liquid of another specific gravity, in which case the associated gage fluid must be used.
- 5.11 The duct test pressure shall be sensed only from an opening in the duct.
- 5.12 The illustration of the flowmeter on test blower discharge does not preclude use of it on the suction side.
- 5.13 Instruments must be adjusted to zero reading before pressure is applied.
- 5.14 Airflow across a sharp edge orifice with standard air density of .075 lb/ft<sup>3</sup> is calculated from

**Equation 5-1**

$$Q = 21.8K(D_2)^2 \sqrt{\Delta P}$$

Where

Q = air volume, cfm

K = coefficient of airflow from Table 5-1 or Appendix J

D = orifice diameter, inches (D<sub>2</sub>)

DP= Pressure drop across orifice, inches wg

D <sub>2</sub> /D <sub>1</sub>	0.70	0.60	0.50	0.40	0.30
A <sub>2</sub> /A <sub>1</sub>	0.490	0.36	0.250	0.160	0.090
K	0.699	0.650	0.623	0.608	0.600
K <sub>p</sub>	0.52	0.63	0.73	0.82	0.88

**Table 5-1 Orifice Coefficients**

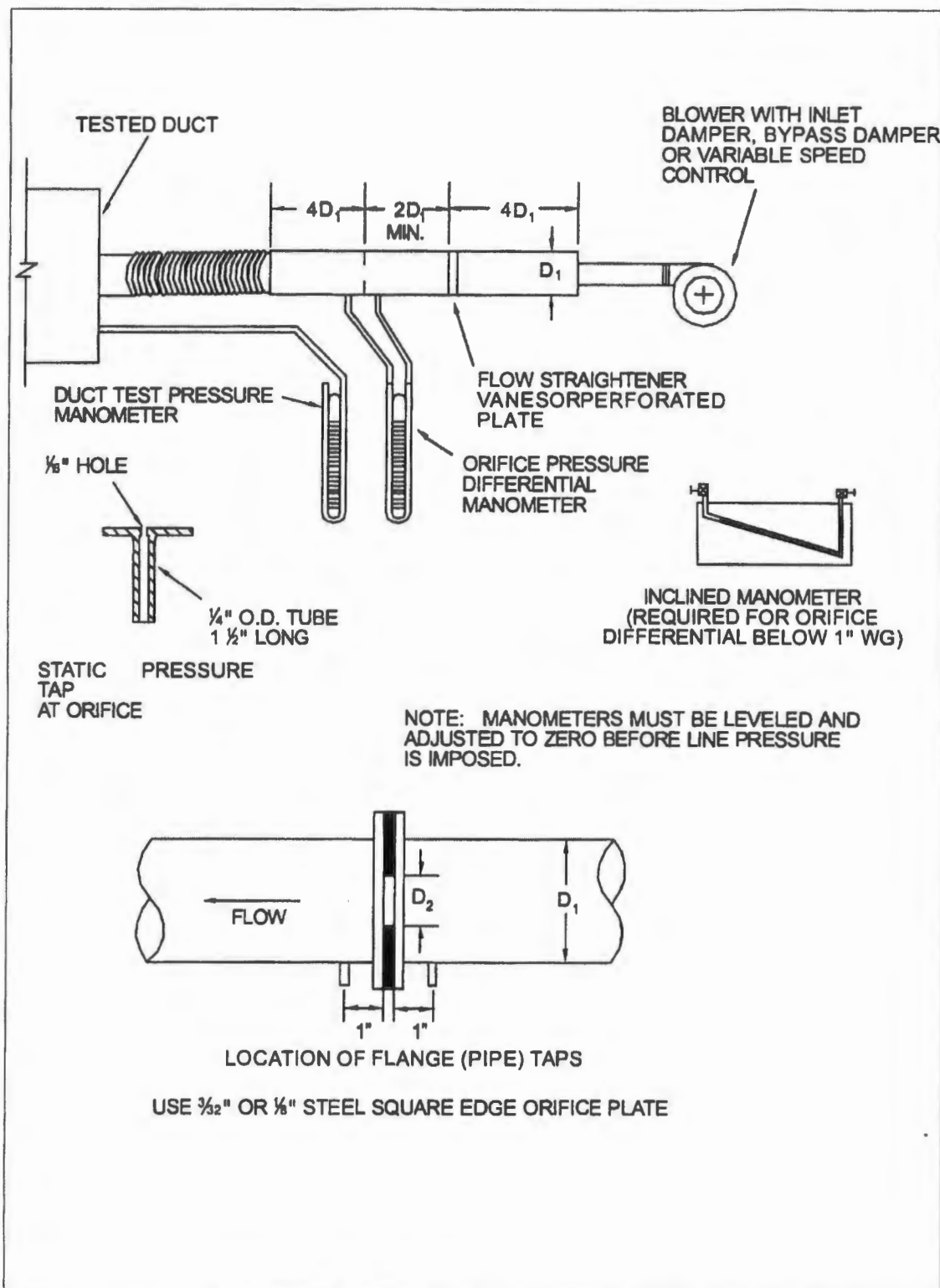
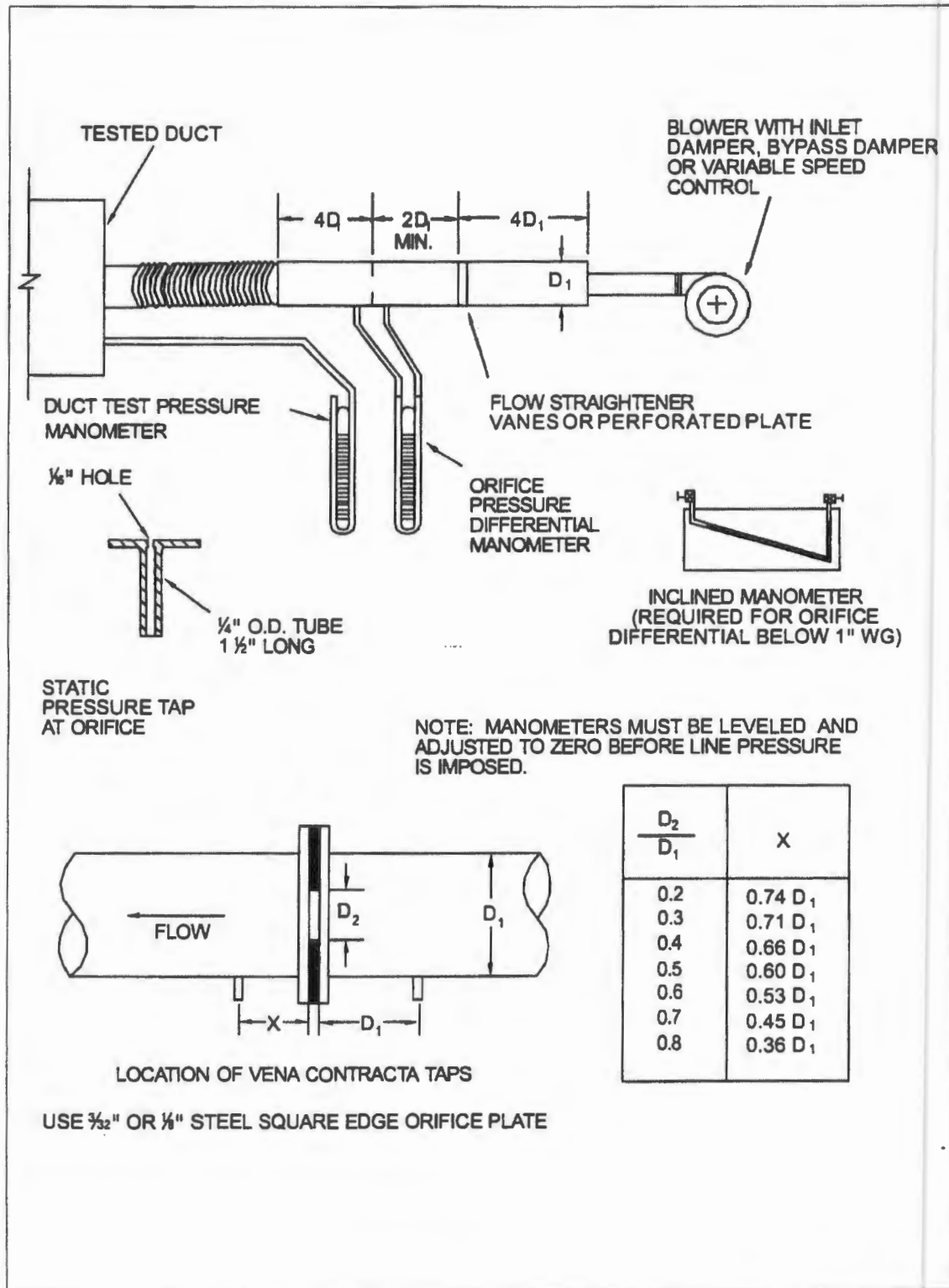


FIGURE 5-1 LEAKAGE TEST METER APPARATUS—FLANGE TAPS





**FIGURE 5-2 LEAKAGE TEST METER APPARATUS—  
VENA CONTRACTA TAPS**



The ratio of orifice diameter  $D_2$  to meter tube interior diameter  $D_1$  is known as the Beta ( $\beta$ ), or diameter ratio. It is normally selected in the range of 0.7 to 0.3. The orifice-to-tube area ratio ( $A_2/A_1$ ) is an indication of the contraction of flow.  $K_p$  in Table 5-1 is the overall pressure loss that occurs from contracting and expanding the flow. Thus, the orifice causes a  $K_p \times \Delta P$  loss that affects blower capacity.

**5.15 Select a flowmeter suitable for the leakage in the duct to be tested:**

- a. Using the target leakage rate (cfm/100 s.f.) for the desired amount of tested duct find the cfm required. At this cfm the blower will have to produce a pressure approximately equal to the sum of the duct test pressure and the orifice differential pressure. Add 0.5" wg if  $D_2/D_1$  is less than 0.5. This assumes that there are no extraordinary pressure losses in the test meter and duct connecting it to the test specimen.
- b. Select the meter from Figure 5-3 or use Table 5-1 and Equation 1 to size a meter that will have a flow curve of the desired range and

still be within the capacity of the blower. Characteristics of typical orifices are shown in Table 5-2.

**5.16 Precautions to be followed for test apparatus:**

- a. Start the blower with blocked suction or discharge to avoid overpressurizing ductwork.
- b. Use clean manometers.
- c. Heat manometers to avoid freezing fluid in cold weather.
- d. If manometer fluid is blown out; refill with the appropriate fluid; for convenience add a drop of water soluble dye to water-filled manometers.
- e. Level position sensitive instruments and set them to zero scale reading.
- f. Read liquid levels by viewing them horizontally.
- g. Record instruments used for testing.



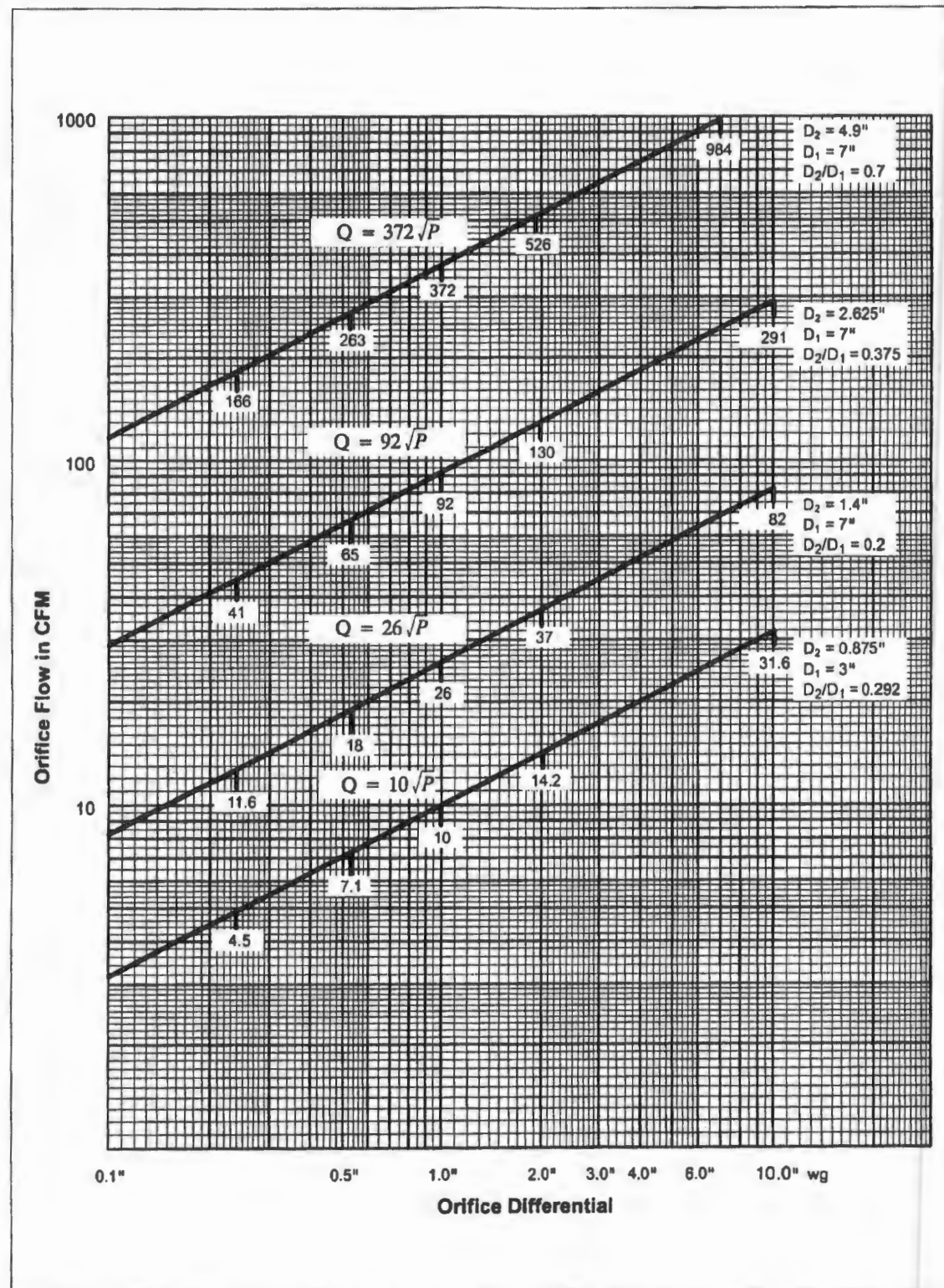


FIGURE 5-3 TYPICAL ORIFICE FLOW CURVES





ΔP in. wg	Orifice Size			ΔP in. wg	Orifice Size			ΔP in. wg	Orifice Size		
	1.4"	2.625"	4.90"		1.4"	2.625"	4.90"		1.4"	2.625"	4.90"
0.02			57.1	1.22	28.7	101.4	410.3	4.10	52.3	183.3	746
0.04		18.7	78.8	1.24	28.9	102.3	413.6	4.20	52.9	187.3	755
0.06		22.8	95.3	1.26	29.2	103.1	416.9	4.30	53.5	189.7	763
0.08		26.2	109.2	1.28	29.4	103.9	420.1	4.40	54.1	191.9	772
0.10		29.3	121.5	1.30	29.6	104.7	423.4	4.50	54.7	194.0	781
0.12		32.1	132.6	1.32	29.8	105.5	426.5	4.60	55.3	196.2	789
0.14		34.6	142.8	1.34	30.1	106.3	429.7	4.70	55.9	198.3	797
0.16		37.0	152.3	1.36	30.3	107.1	432.9	4.80	56.5	200.4	806
0.18		39.2	161.2	1.38	30.5	107.9	436.0	4.90	57.1	202.4	814
0.20		41.3	169.6	1.40	30.7	108.6	439.1	5.00	57.6	204.4	822
0.22		43.3	177.6	1.42	30.9	109.4	442.2	5.10	58.2	206.5	830
0.24		45.2	185.2	1.44	31.2	110.2	445.2	5.20	58.8	208.5	838
0.26		47.0	192.6	1.46	31.4	110.9	448.3	5.30	59.3	210.4	846
0.28		48.8	199.6	1.48	31.6	111.7	451.3	5.40	59.9	212.4	854
0.30		50.5	206.5	1.50	31.8	112.4	454.3	5.50	60.4	214.3	862
0.32		52.1	213.0	1.52	32.0	113.2	457.2	5.60	61.0	216.3	869
0.34		53.7	219.4	1.54	32.2	113.9	460.2	5.70	61.5	218.2	877
0.36		55.3	225.6	1.56	32.4	114.6	463.1	5.80	62.0	220.0	884
0.38		56.8	231.6	1.58	32.6	115.4	466.0	5.90	62.6	221.9	892
0.40		58.3	237.5	1.60	32.8	116.1	468.9	6.00	63.1	223.8	899
0.42		59.7	243.2	1.62	33.0	116.8	471.8	6.10	63.6	225.6	907
0.44		61.1	248.8	1.64	33.2	117.5	474.7	6.20	64.1	227.4	914
0.46		62.4	254.3	1.66	33.4	118.2	477.5	6.30	64.6	229.2	921
0.48		63.8	259.6	1.68	33.6	118.9	480.3	6.40	65.1	231.0	928
0.50	18.5	65.1	264.9	1.70	33.8	119.6	483.1	6.50	65.6	232.8	935
0.52	18.8	66.4	270.0	1.72	34.0	120.3	485.9	6.60	66.1	234.6	942
0.54	19.2	67.6	275.0	1.74	34.2	121.0	488.7	6.70	66.6	236.3	949
0.56	19.5	68.9	280.0	1.76	34.4	121.7	491.5	6.80	67.1	238.1	956
0.58	19.9	70.1	284.8	1.78	34.6	122.4	494.2	6.90	67.6	239.8	963
0.60	20.2	71.3	289.6	1.80	34.8	123.1	496.9	7.00	68.1	241.4	970
0.62	20.6	72.4	294.3	1.82	35.0	123.8	499.7	7.10	68.5	243.2	977
0.64	20.9	73.6	298.9	1.84	35.2	124.4	502.4	7.20	69.0	244.9	984
0.66	21.2	74.7	303.4	1.86	35.4	125.1	505.0	7.30	69.5	246.5	990
0.68	21.5	75.8	307.9	1.88	35.5	125.8	507.7	7.40	69.9	248.2	997
0.70	21.8	76.9	312.3	1.90	35.7	126.4	510.4	7.50	70.4	249.9	1003
0.72	22.1	78.0	316.7	1.92	35.9	127.1	513.0	7.60	70.9	251.5	1010
0.74	22.4	79.1	320.9	1.94	36.1	127.8	515.6	7.70	71.3	253.1	1017
0.76	22.7	80.2	325.2	1.96	36.3	128.4	518.2	7.80	71.8	254.7	1023
0.78	23.0	81.2	329.3	1.98	36.5	129.1	520.8	7.90	72.2	256.4	1029
0.80	23.3	82.2	333.5	2.00	36.6	129.7	523.4	8.00	72.7	257.9	1036
0.82	23.6	83.2	337.5	2.10	37.5	132.9	536.2	8.10	73.1	259.5	1042
0.84	23.9	84.2	341.6	2.20	38.4	136.0	548.6	8.20	73.6	261.1	1048
0.86	24.1	85.2	345.5	2.30	39.3	139.0	560.8	8.30	74.0	262.7	1055
0.88	24.4	86.2	349.4	2.40	40.1	142.0	572.6	8.40	74.5	264.2	1061
0.90	24.7	87.2	353.3	2.50	40.9	144.9	584.3	8.50	74.9	265.8	1067
0.92	25.0	88.1	357.2	2.60	41.7	147.8	595.7	8.60	75.3	267.3	1073
0.94	25.2	89.1	361.0	2.70	42.5	150.6	606.9	8.70	75.7	268.8	1079
0.96	25.5	90.0	364.7	2.80	43.3	153.3	617.9	8.80	76.2	270.4	1085
0.98	25.8	91.0	368.4	2.90	44.0	156.0	628.6	8.90	76.6	271.9	1091
1.00	26.0	91.9	372.1	3.00	44.8	158.7	639.2	9.00	77.0	273.4	1097
1.02	26.3	92.8	375.7	3.10	45.5	161.3	649.6	9.10	77.4	274.9	1103
1.04	26.5	93.7	379.3	3.20	46.2	163.8	659.9	9.20	77.9	276.4	1109
1.06	26.8	94.6	382.9	3.30	46.9	166.4	670.0	9.30	78.3	277.8	1115
1.08	27.0	95.5	386.4	3.40	47.6	168.8	679.9	9.40	78.7	279.3	1121
1.10	27.3	96.3	390.0	3.50	48.3	171.3	689.7	9.50	79.1	280.8	1127
1.12	27.5	97.2	393.4	3.60	49.0	173.7	699.3	9.60	79.5	282.2	1132
1.14	27.8	98.1	396.9	3.70	49.7	176.1	708.8	9.70	79.9	283.6	1138
1.16	28.0	98.9	400.3	3.80	50.3	178.4	718.2	9.80	80.3	285.1	1144
1.18	28.2	99.8	403.7	3.90	51.0	180.7	727.5	9.90	80.7	286.5	1150
1.20	28.5	100.6	407.0	4.00	51.6	183.0	736.6	10.00	81.1	287.9	1155

**Table 5-2 Orifice Flow Rate (SCFM) Versus Pressure Differential (In. of Water)**

Based on 7" Diameter Tube with Flange (Pipe) Taps

Although the table gives cfm to the nearest 0.1, test reports should list numbers rounded to the nearest cfm. Accuracy to the nearest 0.1 is not implied. SCFM denotes air at standard conditions of 70°F and 0.075 lb/cf density.

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**SECTION 6**

**TEST REPORTS**

**SECTION 6****TEST REPORTS**

6.1 When leakage tests are required, preparation for these should include the following:

- a. Review of the specification requirements for testing.
- b. Understanding of the acceptance criteria.
- c. Review of the general procedures outlined in section 3.
- d. Familiarity with the leakage classification analysis in section 5.
- e. Test scheduling.
- f. Test apparatus acquisition.
- g. Delivery of notices to concerned parties and witnesses.
- h. Preliminary data entry on report forms.

6.2 When the designer has adequately analyzed the systems and clearly specified the test parameters the reporting procedure is relatively simple. As discussed in previous sections the following requirements should be clearly specified:

Test Pressure (equivalent to the duct construction pressure class is suggested).

Leakage Class (class selected from Table 4.1).

Amount of system to be tested (10%, 20%, 50%, all).

If the test pressure or leakage class has not been provided, *see* Appendix C and section 2.

6.3 Verification of compliance consists of testing sections of duct at the specified pressure level, finding the leakage in cfm and comparing this with the allowable amount associated with the leakage class. When several separate segments within the same system and pressure class are tested for compliance, the aggregate leakage

should not exceed the allowable, even though the amount in one or more segments may somewhat exceed the cfm allowable indicated for each segment. In such case, to compensate, another segment would have to be tighter than required. If the duct is not in compliance refer to section 3.7 of the general procedures.

6.4 A suggested test summary report form is provided on page 6.2, and a sample of a completed report is shown on page 6.3. The orifice tube data entries can be eliminated if a different type of test apparatus is used. In such case record the type of meter on the test report.

6.5 Procedure for completing a report.

- a. Log the project and system identification data.
- b. Enter the fan cfm (Q), the test pressure ( $P_T$ ), and the leakage class ( $C_L$ ) specified by the designer.
- c. Enter an identification for each duct segment to be tested. Compute and enter the corresponding area of duct surface area excluding any equipment connected in-line.
- d. Look up the allowable leakage factor (F) from Figure 4-1 or Appendix E. Enter this number on the report for each test segment. (This value can also be computed as  $F = C_L \times P^{0.65}$ ).
- e. Calculate the allowable leakage for each test segment by multiplying the surface area by the leakage factor, then dividing by 100.
- f. Conduct and record the field tests. If the sum of the cfm measured is less than or equal to the sum of the allowable leakage the test is passed. Record the date(s), presence of witnesses and flow meter characteristics.

6.6 Test reports shall be submitted as required by the project documents.





PROJECT NAME Wall Street Towers PROJECT NO. 3432 PAGE      OF     

### AIR DUCT LEAKAGE TEST SUMMARY

AIR SYSTEM HVAC-2 LEAKAGE CLASS 3  
 FAN CFM (Q) 24,000 SPECIFIED TEST PRESSURE (P<sub>1</sub>) 6"  
 DUCT CONSTRUCTION PRESSURE CLASS (P<sub>2</sub>) 6"

DESIGN DATA				FIELD TEST DATA RECORD							
SUBJECT DUCT	SURFACE-AREA IN FT <sup>2</sup>	ALLOWABLE LEAKAGE		DIAMETER		PRESSURE " W.G.		DATE	PERFORMED BY	WITNESSED BY	ACTUAL CFM
		FACTOR CFM/100 FT <sup>2</sup>	CFM (TEST SECTION)	ORIFICE	TUBE	DUCT	ACROSS ORIFICE				
TOTAL SYSTEM	9600			****	***	**	*****	****	****	****	
TEST SETION(S)											
RISERS	840	9.6	81	2.625"	7"	6	0.6	3.7.85	JRL	UNG	71
3rd FL. MAIN	560	9.6	54	1.4"	7"	6	3.2	3.13.85	JRL	UNG	46
NORTH BRANCH	410	9.6	39	1.4"	7"	6	3.5	4.16.85	ABT	UNG	48
EAST BRANCH	480	9.6	46	1.4"	7"	6	1.8	4.19.85	ABT	UNG	35
TOTAL	2290		220								200
(SEGMENTS TESTED)											





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## **APPENDICES**

**APPENDIX A**

LEAKAGE CLASS	FAN CFM PRORATED* PER S.F.	STATIC PRESSURE (IN WG)					
		½	1	2	3	4	6
48	2	15	24	38			
	2½	12	19	30			
	3	10	16	25			
	4	7.7	12	19			
	5	6.1	9.6	15			
24	2	7.7	12	19			
	2½	6.1	9.6	15			
	3	5.1	8.0	13			
	4	3.8	6.0	9.4			
	5	3.1	4.8	7.5			
12	2	3.8	6	9.4	12		
	2½	3.1	4.8	7.5	9.8		
	3	2.6	4.0	6.3	8.2		
	4	1.9	3.0	4.7	6.1		
	5	1.5	2.4	3.8	4.9		
6	2	1.9	3	4.7	6.1	7.4	9.6
	2½	1.5	2.4	3.8	4.9	5.9	7.7
	3	1.3	2.0	3.1	4.1	4.9	6.4
	4	1.0	1.5	2.4	3.1	3.7	4.8
	5	0.8	1.2	1.9	2.4	3.0	3.8
3	2	1.0	1.5	2.4	3.1	3.7	4.8
	2½	0.8	1.2	1.9	2.4	3.0	3.8
	3	0.6	1.0	1.6	2.0	2.5	3.2
	4	0.5	0.8	1.3	1.6	2.0	2.6
	5	0.4	0.6	0.9	1.2	1.5	1.9

**Table A-1 Leakage as Percent of Flow in System**

\*TYPICALLY  $\frac{\text{FAN CFM}}{\text{DUCT SURFACE AREA}}$  WILL BE 2 TO 5 CFM/SQUARE FOOT.

% OF FLOW = LEAKAGE FACTOR (IN CFM/100 AT THE PRESSURE)

$$\text{DIVIDED BY } \frac{\text{FAN CFM}}{\text{S.F. SURFACE}} = \frac{\text{CFM}_A}{100 \text{ S.F.}} \times \frac{\text{S.F.}}{\text{CFM}_F}$$

CLASS 48 IS AVERAGE UNSEALED RECTANGULAR DUCT. CLASS 24 AND LOWER ARE ANTICIPATED RESULTS FOR SEALED DUCTS.



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## APPENDIX B

### B.1 SAMPLE LEAKAGE ANALYSIS

Since the system size and the impracticality of attempting to reach unrealistically low levels of leakage are such prominent considerations, *the evaluation of leakage by the percentage method should be a secondary consideration.* However, it is recognized that a percent of fan cfm or a percent of flow in a section of a system that passes through unconditioned space (considered as a heat loss or a heat gain) can be a useful parameter in energy conservation analysis. Leakage as a percent of flow entering one selected section of duct is not an adequate appraisal of the system performance. Five percent of the system flow is quite a different criteria than allowing 5% in each 100 ft of a 500 ft continuous run of duct. It should also be remembered that actual leakage will tend to be less than that appraised for the maximum pressure, because the average pressure under operating conditions will be less.

Leakage as a percent of flow has been related to leakage class and pressure in Appendix A. As Appendix A is studied, the significance of seal classes A, B, and C as applicable to duct pressure classes (see Table 4-1) must be understood. An example of the application of leakage classes to a duct system is provided to aid a realistic approach to the use of seal class, leakage class and percentage method analysis. While other parameters such as cubic contents (of duct interior) or lineal feet of joint might be used for leakage evaluation they are less practical and should not be used unless the square footage analysis has already been made.

### B.2 SYSTEM LEAKAGE CLASSIFICATION ANALYSIS

#### SYSTEM DATA

Leakage Evaluation for Supply Duct in Figure 8-1, page 8-4 of the SMACNA HVAC Duct Design Manual

8000 cfm fan  
 ½" wg duct construction class  
 320 l.f. of duct  
 2,074 ft<sup>2</sup> duct

3.9 cfm/s.f. is average distribution

(i.e.,  $\frac{8000 \text{ cfm}}{2074 \text{ s.f.}} = 3.857$ )

6.3 ft<sup>2</sup> duct per l.f. of duct

### B.3 LEAKAGE ANALYSIS

- a. *Unsealed duct at ½" static pressure. At ½" s.p. on Class 48 curve in Figure 4-1, 30 cfm/100 s.f. is read.*

$$\frac{30}{100} \times 2074 \text{ ft}^2 = 622 \text{ cfm}$$

622 cfm is 7.8% of 8000 cfm fan capacity.

Alternative Calculation (as in Appendix A)

$$\frac{8000 \text{ cfm}}{2074 \text{ ft}^2} = 3.9 \text{ to } 1 \text{ ratio}$$

$$\text{Allowable leakage factor } 30 \times \frac{1}{3.9} = 7.7\%$$

NOTE: The difference (7.7 vs. 7.8) occurs because 3.9 is rounded from 3.857.

- b. *Unsealed duct (½" s.p. class) operating at 0.3" s.p. If the system actually operates with 0.3" average static pressure and is unsealed, 22 cfm/100 s.f. leakage is read from the Class 48 curve on Figure 4-1 at 0.3" pressure. This is 456 cfm or 5.7%.*

- c. *Leakage Class 24 Requirement, (½" Static Pressure)*

From Figure 4-1, 16 cfm/100 s.f. is read.

$$\frac{16}{100} \times 2074 \times 322 \text{ cfm},$$

which is 4.1% of fan cfm.

$$\text{Alternative method: } 16 \times \frac{1}{3.9} = 4.1$$

- d. *Leakage Class 12 Requirement, (½" Static Pressure)*

From Figure 4-1,  $7.5/100 \times 2074 = 156 \text{ cfm}$  or 1.94%

- e. *Allowable leakage of 5%*

If 5% is allowed (i.e., 400 cfm) this is  $\frac{400}{2074}$  or 19.3 cfm/100 s.f. allowable;

$$\text{Leakage class if } C_L = \frac{F}{P_{0.05}} = \frac{19.3}{0.64} = 30$$

The plan on page 8-5 of the duct design manual shows an access door, two volume dampers and a flexible connection (vibration isolation type); leakage allowance for these is prorated to duct surface.





## APPENDIX C

SUGGESTED ANALYSIS WHEN DESIGNER IS NOT USING THE SMACNA CRITERIA, DOES NOT PROVIDE LEAKAGE CLASS OR TEST PRESSURE AND ONLY REQUIRES TESTING TO MEET A PERCENTAGE AS ALLOWABLE LEAKAGE.

### A. LEAKAGE RATE DETERMINATION

When a leakage class is specified it is relatively simple to find the allowable leakage for a given test segment. However, when a total allowable leakage is expressed as a percent of total flow, it is somewhat more cumbersome to prorate the allowable leakage to any single test segment. A suggested method is as follows:

1. Calculate the total amount of allowable leakage by multiplying the percent allowable by the total flow of the fan.
2. Calculate the area of the entire duct system in square feet.
3. Divide the allowable leakage obtained in (1) by the total area obtained in (2) to obtain a prorated leakage rate (F). Enter this number on the report for each test segment.
4. Calculate the allowable leakage for each test segment by multiplying its surface area by the leakage factor obtained in (3).

At this point the contractor may find it informative to relate the contract requirements to the leakage suggested in Table 4.1. This can be done as follows:

$$C_L = \frac{F \times 100}{P^{0.65}}$$

In this formula (F) is the leakage rate obtained in paragraph (3) above, and P is the test pressure.

Compare the numerical value of the leakage class obtained through this calculation with the suggested leakage classes for the type of duct construction and extent of sealing used. If the calculated value is below the value suggested in Table 4-1 the contractors should anticipate some difficulty in obtaining satisfactory test results. The greater the difference is, the greater the difficulty will be. Resolve the issue under sections 2.1(e) and 2.2(c) of the leakage test manual.

### B. TEST PRESSURE DETERMINATION

The duct will be constructed for some pressure class (or classes). It is not practical to include duct from two different construction classes in the same leakage test segment. Ducts should not be leak tested at pressures greater than the construction class.



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**APPENDIX D****D.1 SAMPLE PROJECT SPECIFICATION****NOTICE TO DESIGNERS:**

WHEN TESTS ARE DEEMED NECESSARY, A TEST OF A REPRESENTATIVE SAMPLE OF THE DUCT IS RECOMMENDED. IF SAMPLE IS DEFECTIVE, THE CONTRACTOR SHOULD REPAIR OR MODIFY THE CONSTRUCTION. IF RESULTS OF SAMPLE TEST ARE GOOD, CONTRACTOR CAN BE PERMITTED TO PROCEED WITHOUT FURTHER TESTING. VISUAL INSPECTION AND EXAMINATION OF OPERATING CONDITIONS SHOULD SUFFICE TO JUSTIFY FAITH IN METHODS USED.

1. Contractor shall, at the beginning of the work construct, erect and leak test a representative sample of the duct construction to be used at the \_\_\_\_ pressure class. The sample specimen shall include at least five transverse joints, typical seams, an access door and at least two typical branch connections plus an elbow.
2. The leakage amount shall not exceed the allotted amount for the pressure class or the allotted amount for that portion of the system, whichever is applicable.

DUCT CONSTRUCTION CLASS	LEAKAGE CLASS
10" wg	3
6" wg	6
4" wg	6
3" wg	12

*NOTE: See section 4 of the SMACNA Leakage Test Manual for normal classification.*

3. Leakage test procedures shall follow the outlines and classifications in the SMACNA HVAC Duct Leakage Test Manual.
4. If specimen fails to meet allotted leakage level, the contractor shall modify to bring it into compliance and shall retest it until acceptable leakage is demonstrated.
5. Tests and necessary repair shall be completed prior to concealment of ducts.



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**APPENDIX E**

PRESSURE W.G.		LEAKAGE CLASS (C <sub>L</sub> )				UNSEALED
P <sup>0.65</sup>	P"	CLASS 3	CLASS 6	CLASS 12	CLASS 24	CLASS 48
0.143	0.05	0.4	0.9	1.7	3.4	6.7
0.224	0.10	0.7	1.3	2.7	5.4	10.7
0.351	0.20	1.1	2.1	4.2	8.4	16.8
0.457	0.30	1.4	2.7	5.5	11.0	21.9
0.551	0.40	1.7	3.3	6.6	13.2	26.4
0.637	0.50	1.9	3.8	7.6	15.3	30.6
0.717	0.60	2.2	4.3	8.6	17.2	34.4
0.793	0.70	2.4	4.8	9.5	19.0	38.1
0.865	0.80	2.6	5.2	10.4	20.8	41.5
0.934	0.90	2.8	5.6	11.2	22.4	44.8
1	1	3	6	12	24	48
1.30	1.5	3.9	7.8	15.6	31.2	62.4
1.57	2.0	4.7	9.4	18.8	37.7	75.4
1.81	2.5	5.4	10.9	21.7	43.4	86.8
2.04	3.0	6.1	12.2	24.5	49.0	98.0
2.26	3.5	6.7	13.6	27.1	54.2	108.5
2.46	4.0	7.4	14.8	29.5	59.0	118.1
2.66	4.5	8.0	16.0			
2.85	5.0	8.6	17.1			
3.03	5.5	9.1	18.2			
3.20	6.0	9.6	19.2			
3.54	7.0	10.6	21.2			
3.86	8.0	11.6	23.2			
4.17	9.0	12.5	25.0			
4.47	10.0	13.4	26.8			
4.75	11.0	14.3	28.5			

$$C_L = \frac{F}{P^{0.65}}$$

When  $P = 1$

$$C_L = F$$

$$F = C_L(P)^{0.65}$$

**Table E-1 Leakage Factor (F) in CFM/100 S.F. Duct**

These factor may also be read from Figure 4-1.  
See Table 4-1 for seal class and pressure class.



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**APPENDIX F**

LEAKAGE RATE CFM/100 SFD	LEAK TEST RIG FLOW CAPACITY IN CFM							
	25	50	100	150	200	250	300	400
1	2,500	5,000	10,000	15,000	20,000	25,000	30,000	40,000
2	1,250	2,500	5,000	7,500	10,000	12,500	15,000	20,000
3	833	1,666	3,333	5,000	6,666	8,333	10,000	13,333
4	625	1,250	2,500	3,750	5,000	6,250	7,500	10,000
5	500	1,000	2,000	3,000	4,000	5,000	6,000	8,000
6	417	833	1,667	2,500	3,333	4,167	5,000	6,667
10	250	500	1,000	1,500	2,000	2,500	3,000	4,000
12	208	417	833	1,250	1,667	2,083	2,500	3,333
15	167	333	666	1,000	1,333	1,667	2,000	2,667
20	125	250	500	750	1,000	1,250	1,500	2,000
25	100	200	400	600	800	1,000	1,200	1,600
30	83	167	333	500	667	833	1,000	1,333
50	50	100	200	300	400	500	600	800

**Table F-1 Amount of Duct to be Leak Tested (SFD)**

SFD IS DUCT SURFACE AREA IN SQUARE FEET

*NOTE: The static pressure for the test must develop within the cfm range of the test rig; if it does not the leakage in the amount of duct tested is (probably) greater than the estimated amount.*



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**APPENDIX G**

DUCT DIMENSION (WIDTH)																								
	6"	8"	10"	12"	14"	16"	18"	20"	22"	24"	26"	28"	30"	36"	42"	48"	54"	60"	66"	72"	84"	96"	108"	
6"	2.00	2.33	2.67	3.00	3.33	3.67	4.00	4.33	4.67	5.00	5.33	5.67	6.00	7.00	8.00	9.00	10.00	11.00	12.00	13.00	15.00	17.00	19.00	
8"		2.67	3.00	3.33	3.67	4.00	4.33	4.67	5.00	5.33	5.67	6.00	6.33	7.33	8.33	9.33	10.33	11.33	12.33	13.33	15.33	17.33	19.33	
10"			3.33	3.67	4.00	4.33	4.67	5.00	5.33	5.67	6.00	6.33	6.67	7.67	8.67	9.67	10.67	11.67	12.67	13.67	15.67	17.67	19.67	
12"				4.00	4.33	4.67	5.00	5.33	5.67	6.00	6.33	6.67	7.00	8.00	9.00	10.00	11.00	12.00	13.00	14.00	16.00	18.00	20.00	
14"					4.67	5.00	5.33	5.67	6.00	6.33	6.67	7.00	7.33	8.33	9.33	10.33	11.33	12.33	13.33	14.33	16.33	18.33	20.33	
16"						5.33	5.67	6.00	6.33	6.67	7.00	7.33	7.67	8.67	9.67	10.67	11.67	12.67	13.67	14.67	16.67	18.67	20.67	
18"							6.00	6.33	6.67	7.00	7.33	7.67	8.00	9.00	10.00	11.00	12.00	13.00	14.00	15.00	17.00	19.00	21.00	
20"								6.67	7.00	7.33	7.67	8.00	8.33	9.33	10.33	11.33	12.33	13.33	14.33	15.33	17.33	19.33	21.33	
22"									7.33	7.67	8.00	8.33	8.67	9.67	10.67	11.67	12.67	13.67	14.67	15.67	17.67	19.67	21.67	
24"										8.00	8.33	8.67	9.00	10.00	11.00	12.00	13.00	14.00	15.00	16.00	18.00	20.00	22.00	
26"											8.67	9.00	9.33	10.33	11.33	12.33	13.33	14.33	15.33	16.33	18.33	20.33	22.33	
28"												9.33	9.67	10.67	11.67	12.67	13.67	14.67	15.67	16.67	18.67	20.67	22.67	
30"													10.00	11.00	12.00	13.00	14.00	15.00	16.00	17.00	19.00	21.00	23.00	
36"														12.00	13.00	14.00	15.00	16.00	17.00	18.00	20.00	22.00	24.00	
42"															14.00	15.00	16.00	17.00	18.00	19.00	21.00	23.00	25.00	
48"																16.00	17.00	18.00	19.00	20.00	22.00	24.00	26.00	
54"																	18.00	19.00	20.00	21.00	23.00	25.00	27.00	
60"																		20.00	21.00	22.00	24.00	26.00	28.00	
66"																			22.00	23.00	25.00	27.00	29.00	
72"																				24.00	26.00	28.00	30.00	
84"																					28.00	30.00	32.00	
96"																						32.00	34.00	
108"																							36.00	

Table G-1 Duct Surface Area in Square Feet per Linear Foot



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**APPENDIX H**

Diameter Inches	Area		Circumference		Diameter Inches	Area		Circumference	
	Sq In	Sq Ft	In	Ft		Sq In	Sq Ft	In	Ft
1	0.7854	0.00545	3.142	0.2618	51	2042.82	14.19	160.22	13.35
2	3.1416	0.0218	6.283	0.5236	52	2123.72	14.75	163.36	13.61
3	7.0686	0.0491	9.425	0.7854	53	2206.18	15.32	166.50	13.88
4	12.5664	0.0873	12.566	1.047	54	2290.22	15.90	169.65	14.14
5	19.6350	0.1364	15.708	1.309	55	2375.83	16.50	172.79	14.40
6	28.2743	0.1964	18.850	1.571	56	2463.01	17.10	175.93	14.66
7	38.4845	0.2673	21.991	1.833	57	2551.76	17.72	179.07	14.92
8	50.2655	0.3491	25.133	2.094	58	2642.08	18.35	182.21	15.18
9	63.6173	0.4418	28.274	2.356	59	2733.97	18.99	185.35	15.45
10	78.5398	0.5454	31.416	2.618	60	2827.43	19.63	188.50	15.71
11	95.0332	0.6600	34.558	2.880	61	2922.47	20.29	191.64	15.97
12	113.097	0.7854	37.699	3.142	62	3019.07	20.97	194.78	16.23
13	132.732	0.9218	40.841	3.403	63	3117.25	21.65	197.92	16.49
14	153.938	1.069	43.982	3.665	64	3216.99	22.34	201.06	16.76
15	176.715	1.227	47.124	3.927	65	3318.31	23.04	204.20	17.02
16	201.062	1.396	50.265	4.189	66	3421.19	23.76	207.35	17.28
17	226.980	1.576	53.407	4.451	67	3525.65	24.48	210.49	17.54
18	254.469	1.767	56.549	4.712	68	3631.68	25.22	213.63	17.80
19	283.529	1.969	59.690	4.974	69	3739.28	25.97	216.67	18.06
20	314.159	2.182	62.832	5.236	70	3848.45	26.73	219.91	18.33
21	346.361	2.405	65.973	5.498	71	3959.19	27.49	223.05	18.69
22	380.133	2.640	69.115	5.760	72	4071.50	28.27	226.19	18.85
23	415.476	2.885	72.257	6.021	73	4185.39	29.07	229.34	19.11
24	452.389	3.142	75.398	6.283	74	4300.84	29.87	232.48	19.37
25	490.874	3.409	78.540	6.545	75	4417.86	30.68	235.62	19.63
26	530.929	3.887	81.681	6.807	76	4536.46	31.50	238.76	19.90
27	572.555	3.978	84.823	7.069	77	4656.63	32.34	241.90	20.16
28	615.752	4.276	87.965	7.330	78	4778.36	33.18	245.04	20.42
29	660.520	4.587	91.106	7.592	79	4901.67	34.04	248.19	20.68
30	706.859	4.909	94.248	7.854	80	5026.55	34.91	251.33	20.94
31	754.768	5.241	97.389	8.116	81	5153.00	35.78	254.47	21.21
32	804.248	5.585	100.531	8.378	82	5281.02	36.67	257.61	21.47
33	855.299	5.940	103.673	8.639	83	5410.61	37.57	260.75	21.73
34	907.920	6.305	106.814	8.901	84	5541.77	38.48	263.89	21.99
35	942.113	6.681	109.956	9.163	85	5674.50	39.41	267.04	22.25
36	1017.88	7.069	113.097	9.425	86	5808.80	40.34	270.18	22.51
37	1075.21	7.467	116.239	9.688	87	5944.68	41.28	273.32	22.78
38	1134.11	7.876	119.381	9.948	88	6082.12	42.24	276.46	23.04
39	1194.59	8.296	122.522	10.21	89	6221.14	43.20	279.60	23.30
40	1256.54	8.727	125.66	10.47	90	6361.73	44.18	282.74	23.56
41	1320.25	9.168	128.81	10.73	91	6503.88	45.17	285.88	23.82
42	1385.44	9.621	131.95	10.99	92	6647.61	46.16	289.03	24.09
43	1452.20	10.08	135.09	11.26	93	6792.91	47.17	292.17	24.35
44	1520.53	10.56	138.23	11.52	94	6939.78	48.19	295.31	24.61
45	1590.43	11.04	141.37	11.78	95	7088.78	49.22	298.45	24.87
46	1661.90	11.54	144.51	12.04	96	7238.23	50.27	301.59	25.13
47	1734.94	12.05	147.65	12.30	97	7389.81	51.32	304.73	25.39
48	1809.56	12.51	150.80	12.57	98	7542.96	52.38	307.88	25.66
49	1885.74	13.09	153.94	12.83	99	7699.69	53.46	311.02	25.92
50	1963.50	13.64	157.08	13.09	100	7853.98	54.54	314.16	26.18

**Table H-1 Areas and Circumferences of Circles**

The surface area (per linear foot) of flat oval duct can be calculated from  $3.14D + 2L$ , where  $L$  is the flat span and  $D$  is the depth. The value  $3.14D$  or  $\pi D$  may be read in the circumference column of the above table. The flat width is equal to the difference between the major and minor dimensions.



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## APPENDIX I COMMENTARY ON FLOW CALCULATION FOR ORIFICE METERS

### I.1 FLOW EQUATION DERIVATION

The basic flow equation is  $Q = AV$  for which  $Q$  is in cfm,  $A$  is in  $\text{ft}^2$  and  $V$  is in fpm. Velocity pressure head  $h = \frac{V^2}{2g}$  and velocity  $V = \sqrt{2gh}$  where  $g$  is the gravitational factor of 32.17 lb/ft-sec/sec. To use basic formula in inches of water gage pressure it is necessary to multiply the velocity head in feet by 12 in/ft and by the ratio of air density to water density  $\frac{\rho \text{ lb/ft}^3}{62.3 \text{ lb/ft}^3}$ . To use velocity in fpm divide by 3600  $\text{s}^2/\text{m}^2$ .

$$\text{Thus, } h = \frac{V^2}{2(32.17)} \times \frac{12}{3600} \times \frac{\rho}{62.3}$$

$$\text{and } V = 1096.7 \sqrt{h/\rho}$$

$$\text{When } \rho = 0.075, V = 4005 \sqrt{h}$$

Fluid flow texts indicate that for temperatures below 500° F thermal expansion effects in the orifice meter need not be accounted for. Also, for the normal range pressures in HVAC system testing, the effects of air compressibility are negligible. A combined coefficient  $K$  is used for various effects due to approach, contraction, discharge and pressure tap locations.

Standard airflow across a sharp edge orifice with  $\rho = 0.075 \text{ lb/ft}^3$  is calculated from

Equation 1

$$Q = KAV = K \frac{\pi}{4} \frac{D^2}{144} 1096.7 \sqrt{\frac{\Delta P}{0.075}} \\ = 21.8KD^2 \sqrt{\Delta P}$$

For densities other than standard, the following equation can be used as a good approximation:

Equation 2

$$Q = 6KD^2 \sqrt{\frac{\Delta P}{0.075 d}}$$

where  $Q$  = air volume, cfm  
 $K$  = coefficient of air flow  
 $D$  = orifice diameter, inches  
 $\Delta P$  = pressure drop across orifice, "wg  
 $d$  = density factor from Appendix K

### I.2 FLOWMETER ACCURACY

The coefficient  $K$  is affected by the Reynolds number, a dimensionless value expressing flow conditions in a duct. Appendix J relates Reynolds number, Beta ratio, and  $K$ . The following equation gives a simplified method of calculating Reynolds number for standard air:

$$R = 8.4 DV$$

Where  $R$  = Reynolds number  
 $D$  = Orifice diameter, inches  
 $V$  = Velocity of air through orifice, fpm

The coefficient  $K$  is read from Appendix J for the type of meter taps used. It varies more below  $R$  values of 105 than for higher values. Some texts such as *Fan Engineering*, copyrighted by Buffalo Forge Co., use  $K$  coefficients for Reynolds number of  $10^6$  (with pipe diameter as the reference) as reasonably accurate for normal flow in 1½" to 16" diameter pipes, whether flange or vena contracta taps are used. Fisher and Porter Company reports in their *Flowmeter Orifice Sizing Handbook* that ASME publications and other research indicate that regardless of pipe size and standard orifice tap locations, only ±1% error is likely over a beta range of 0.12 to 0.72 if the equation for  $K$  is

$$K_c = 0.5930 + 0.4\beta^4 \\ + (0.0015\sqrt{\beta} + 0.012\beta^4) \sqrt{\frac{1000}{R}}$$

The terms with  $\beta$  in this equation are relatively small and the practice of using  $K = 0.60$  is fairly common. Flow approaching the orifice must be uniform to maintain accuracy. Straightening vanes or other flow straightening means must be used upstream. However, ASME and other texts point out that the basic orifice flow coefficients need modification for the effects of gas expansion if the pressure drop across the orifice is more than a few percent of the absolute pressure upstream of the orifice. Appendix K may be used to evaluate the effects of a gas expansion factor  $Y$  in terms of  $\beta$  the upstream pressure  $P_1$ , the ratio of specific heat





at constant pressure to constant volume ( $k = 1.4$  for air) and orifice pressure drop. The  $Y$  factor would reduce the apparent flow by becoming a multiplier in the formula  $Q = K_c YAV$ . The  $Y$  factor should be considered when determining the beta ratio to be used in a meter that is to be highly accurate.

Manometer scales are calibrated for fluids of specific density. Fluids with density corresponding to scale calibration must be used. Recalibration is not necessary. Densities of various manometer fluids are given in Appendix M.

The accuracy of the  $K$  coefficients in Figure 5-1 can be compared with those varying with Reynolds number in the following manner.

With 100 cfm in a 2.625" diameter orifice

$$R = 8.4 DV = 8.4 D \frac{Q}{A}$$

$$\text{Or } R = 8.4(2.625) \frac{100}{0.03758} = 5.87 \times 10^4$$

If  $\frac{D_2}{D_1} = 0.375$ , Figure 1 gives  $K = 0.61$  and Figure 2 gives  $K = 0.615$ .

Observe that 0.623 from Table 5-1 is 102% of 0.61. With 30 cfm in a 1" diameter orifice,

$$R = 8.4(1) \frac{30}{0.00545} = 4.6 \times 10^4$$

If  $\frac{D_2}{D_1} = 0.33$ , Figure 1 gives  $K = 0.605$  and Figure 2 gives  $K = 0.61$ .

Table 5-1 (interpolated) gives  $K = 0.6024$  which is 98.8% of 0.61.

Various authorities agree that orifice meters that are precisely built to conform to ASME specifications do not require calibration. In Chapter 9 of *Industrial Ventilation*, ACGIH discusses orifice calibration with a standard Pitot tube and states that orifices conforming

to meters indicated in Table 5-2 of this manual do not require calibration. Otherwise, the nominal values for  $K$  that are given in Table 5-1 are deemed suitable for flow measurement under field conditions. Table 5-1 is usable for vena contracta taps at all  $D_2/D_1$  ratios and for flange taps when  $D_2/D_1$  is 0.50 or less. Vena contracta taps or flange taps are acceptable for Figure 5-3 except that  $Q = 372 \sqrt{P}$  (with  $K = 0.711$ ) may have 10% error with flange taps when Reynolds number is less than  $10^5$ .

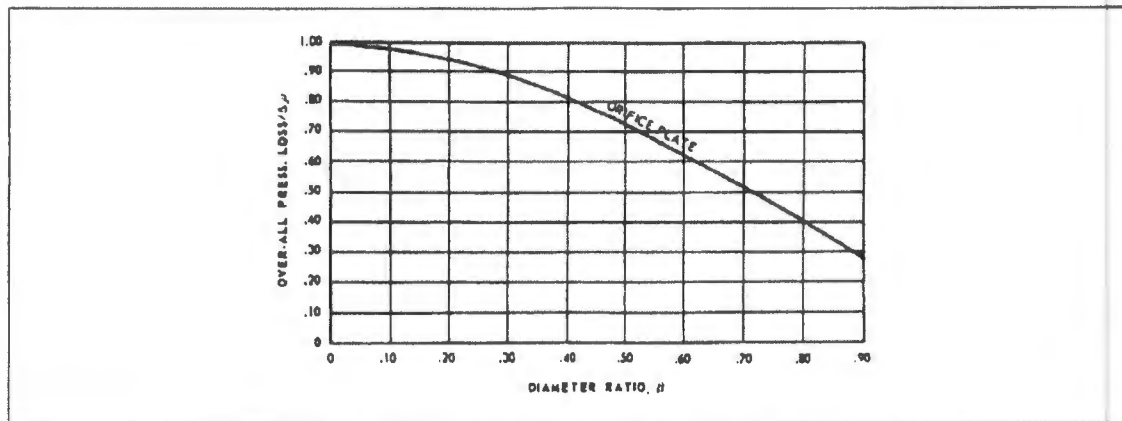
### 1.3 OVERALL METER LOSS

Where test apparatus fan capacity is marginal overall pressure loss through the orifice meter may contribute to difficulty in obtaining the required test pressure level in the duct. The overall loss in relation to the diameter ratio  $\beta$  is indicated in Table 5-1 and in Figure 1.

### 1.4 METER CAPACITY FOR TESTED DUCT SIZE

A test meter must have a fan that can produce the target cfm at a static pressure that is a combination of the duct test pressure plus other "system" losses. The required capacity of a leakage test meter should be examined in relation to the duct leakage classification chart. The orifice relates cfm to pressure according to  $Q = C \times P^{0.5}$ . Leakage class is a plot of  $Q = C \times P^{0.65}$ . However, the orifice capacity needs to relate only to one pressure level on the leakage class curve, the test pressure. An orifice conforming to  $10 \sqrt{\Delta P}$  will, for example, have the capacity to register only 24 cfm at 6" orifice differential. If the test is at 6 static pressure for Leakage Class 3 compliance, i.e., 9.6 cfm per 100 s.f., with 6" orifice differential and 6" duct test pressure, the meter could only indicate 24 cfm. However, the blower for the test apparatus would have to produce 24 cfm at 10" to 12" static. Observe that with a  $\beta$  ratio of 0.29, as in a 3" tube with  $\frac{7}{8}$ " orifice, the meter loss is 88% of the orifice differential. Assuming that the duct leaked at Class 3 and the test apparatus could generate the static pressure to indicate 24 cfm, 250 square feet of duct ( $24/9.6 \times 100 = 250$ ) could be tested at one time. A larger meter, for example,  $Q = 26 \Delta P$ , could test 666 s.f. of duct ( $64/9.6 \times 100$ ) with 6"  $\Delta P$ . If the  $10 \sqrt{\Delta P}$  meter were used to test Class 24 duct at 1  $\frac{1}{2}$ " static and it could not develop more than about 10" orifice drop while maintaining 1  $\frac{1}{2}$ " in the tested duct; the 32 cfm metered could only handle  $32/31 \times 100$  or 103 s.f. of duct (unless the leak-





**FIGURE I-1 RATIO OF OVER-ALL PRESSURE LOSS TO METERED DIFFERENTIAL VERSUS DIAMETER RATIO  $\beta$**

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age rate was below the allowable). Comparing Figure 5-3 with Figure 4-1 can facilitate testing. Excess fan pressure can be controlled with inlet dampers, bypass, variable speed motors or other means.

### 1.5 STANDARD AIR

Air density varies with barometric pressure, temperature, and the amount of moisture present. Moist air is less dense than dry air at a given temperature. At a barometric pressure of 29.92 in. Hg and 70° F dry air has a density of 0.07495 lb/ft<sup>3</sup>. At 60° F dry air is 0.764 lb/ft<sup>3</sup>. Federal agency documents define "standard atmosphere"; at sea level standard temperature is 59° F with 29.921 in. Hg barometric pressure. Industry documents define "standard air" in different ways. ASHRAE uses a standard value of 0.075 lb of dry air per cubic foot for 60° F at saturation and for 69° F dry at 14.7 psia. The ASHRAE Fundamentals Handbook chapter on duct design states that no corrections to their duct friction chart are needed for  $\pm 30^\circ$  F from 70° F, elevations to 1500 ft and duct pressures from +20" wg to -20" wg. These limits result in only  $\pm 5\%$  variation. Comparable limits should be acceptable for field tests. Other variations can be observed in Appendix K.

Those who test air handling systems will occasionally be concerned with the designations ACFM and SCFM. The "A" refers to "actual"; the "S" refers to standard (CFM). Chapter 10 of the *Industrial Ventilation Manu-*

*al*, published by ACGIH, defines three equivalent methods of calculating ACFM. The SCFM basis is 0.075 lb/ft<sup>3</sup> at 70° F at sea level.

- $ACFM = SCFM \times \frac{460 + T}{530}$  where T is actual dry bulb air temperature in °F, moisture is negligible and pressure is less than  $\pm 20$ " wg.
- $ACFM = SCFM \times \frac{0.075}{d}$  where d is air density taken from psychrometric charts.
- $ACFM = \text{lb per min. of dry air} \times \text{humid volume ft}^3 \text{ per min. per pound of dry air.}$

These evaluations are rarely applied on commercial projects but are common in the industrial sector. For example, outdoor air at 95° db and 75° wb has a humid air volume of 14.3 ft<sup>3</sup>/lb of dry air. The density is 0.07 lb/ft<sup>3</sup>. By formula b) above an actual flow measurement of 100 cfm would mean a standard airflow of 93.3 cfm.

For additional information on flowmeters see references in Appendix N.



## 1.6 OTHER LEAK TEST METHODS

Various methods of leak testing are used for shafts, building compartments, door cracks, windows, curtain walls, critical ducts in safety related criteria zones in nuclear power plants and other circumstances. ASME/ANSI Standard N510, *Testing of Nuclear Air-Cleaning Systems*, covers requirements for field testing of engineered safety feature systems and high efficiency air cleaning systems. Bubble, spray DOP, liquid penetrant, pressure decay rate and other methods are found in N510. Several levels of tightness for ducts in contamination zones and other applications are addressed in N510 and also in ASME/ANSI Standard N509, *Nuclear Power Plant Air-Cleaning Units and Components*. Provisions in both of these documents are reviewed in the ERDA 76-21, *Nuclear Air Cleaning Handbook*, available from the U.S. Department of Commerce NTIS.

Tracer gas methods have been used frequently by researchers investigating the leakage in houses and commercial building compartments. NBS has used the method and numerous ASHRAE transactions report this method and fan pressurization methods. Transaction H1-85-03 No. 2 lists many of the references. ASHRAE *Fundamentals Handbook* Chapter 25, on ventilation and infiltration, reports leakage rates for various building elements. Key standards for such tests are:

ASTM E283, *Rate of Air Leakage Through Exterior Windows, Curtain Walls, and Doors*

ASTM E741, *Measuring Air Leakage by the Tracer Dilution Method*

ASTM E779, *Measuring Air Leakage by the Fan Pressurization Method*

ASTM E783, *Field Measurement of Air Leakage Through Installed Exterior Windows and Doors*

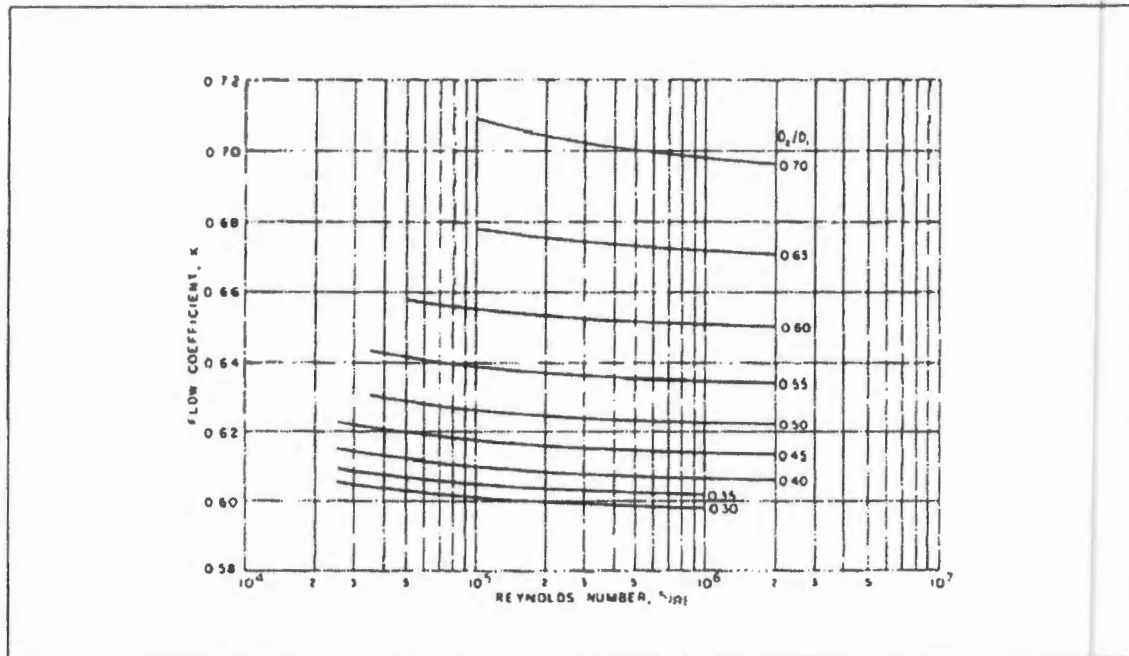
Measurement techniques, field studies, and the significance of infiltration are comprehensively reviewed in ASTM STP 719-1980, *Building Air Change Rate and Infiltration Measurements*.

Typical leakage rates for walls and floors of commercial buildings are reported in *Design of Smoke Control Systems for Buildings*, available from ASHRAE. This document has an extensive bibliography on stairwell, shaft, and building leakage. At the present it appears that insufficient knowledge exists about the leakage rates in ceilings, interior partitions and corridor construction to document rates for design purposes.

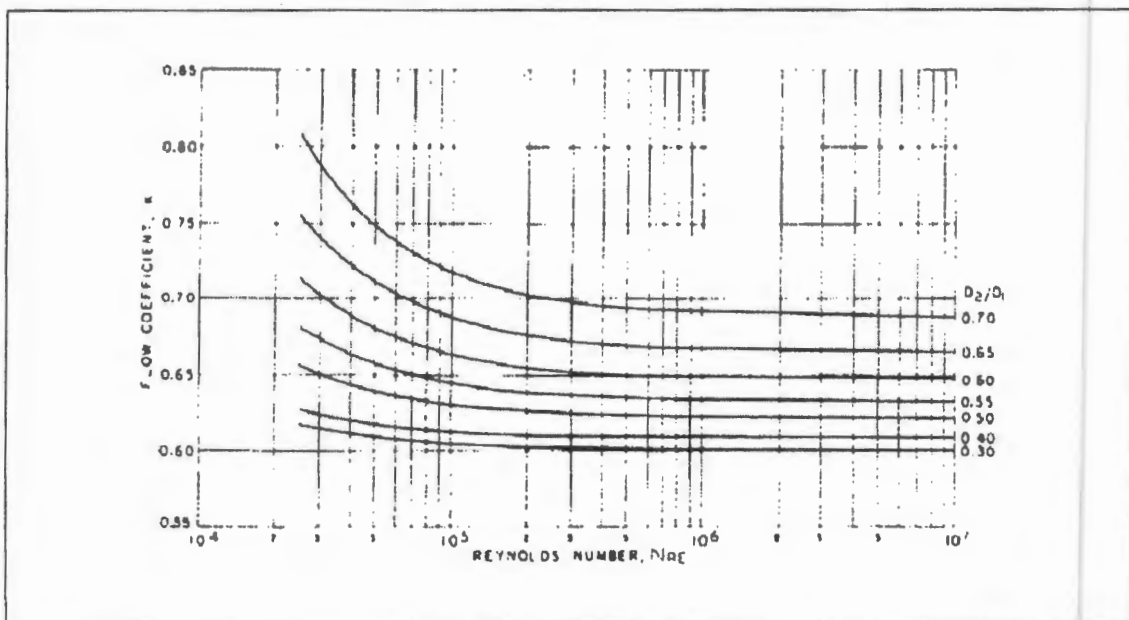
Damper leakage is lab tested by AMCA Standard 500. Several classifications of damper leakage are published in UL Standard 555S, *Leakage Rated Dampers for Use in Smoke Control Systems*. Higher integrity classifications of damper leakage are in ANSI N509.

Tests of HVAC systems and building compartments for smoke control performance may involve flow direction study, air change rate and leakage evaluation by means other than orifice meters.



**APPENDIX J****J.1 FLOW COEFFICIENTS**

**FIGURE J-1 FLOW COEFFICIENTS K FOR SQUARE/EDGED ORIFICE PLATES AND VENA CONTRACTA TAPS IN SMOOTH PIPE**



**FIGURE J-2 FLOW COEFFICIENTS K FOR SQUARE-EDGED ORIFICE PLATES AND FLANGE TAPS IN SMOOTH PIPE**



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**APPENDIX K**

Altitude (ft)	Sea Level	1000	2000	3000	4000	5000	6000	7000	8000	9000	10,000
Barometer (in. Hg)	29.92	28.86	27.82	26.82	25.84	24.90	23.98	23.09	22.22	21.39	20.58
(in. wg)	407.5	392.8	378.6	365.0	351.7	338.9	326.4	314.3	302.1	291.1	280.1
Air Temp, -40°	1.26	1.22	1.17	1.13	1.09	1.05	1.01	0.97	0.93	0.90	0.87
°F 0°	1.15	1.11	1.07	1.03	0.99	0.95	0.91	0.89	0.85	0.82	0.79
40°	1.06	1.02	0.99	0.95	0.92	0.88	0.85	0.82	0.79	0.76	0.73
70°	1.00	0.96	0.93	0.89	0.86	0.83	0.80	0.77	0.74	0.71	0.69
100°	0.95	0.92	0.88	0.85	0.81	0.78	0.75	0.73	0.70	0.68	0.65
150°	0.87	0.84	0.81	0.78	0.75	0.72	0.69	0.67	0.65	0.62	0.60
200°	0.80	0.77	0.74	0.71	0.69	0.66	0.64	0.62	0.60	0.57	0.55
250°	0.75	0.72	0.70	0.67	0.64	0.62	0.60	0.58	0.56	0.54	0.51
300°	0.70	0.67	0.65	0.62	0.60	0.58	0.56	0.54	0.52	0.50	0.48
350°	0.65	0.62	0.60	0.58	0.56	0.54	0.52	0.51	0.49	0.47	0.45
400°	0.62	0.60	0.57	0.55	0.53	0.51	0.49	0.48	0.46	0.44	0.42
450°	0.58	0.56	0.54	0.52	0.50	0.48	0.46	0.45	0.43	0.42	0.40
500°	0.55	0.53	0.51	0.49	0.47	0.45	0.44	0.43	0.41	0.39	0.38
550°	0.53	0.51	0.49	0.47	0.45	0.44	0.42	0.41	0.39	0.38	0.36
600°	0.50	0.48	0.46	0.45	0.43	0.41	0.40	0.39	0.37	0.35	0.34
700°	0.46	0.44	0.43	0.41	0.39	0.38	0.37	0.35	0.34	0.33	0.32
800°	0.42	0.40	0.39	0.37	0.36	0.35	0.33	0.32	0.31	0.30	0.29
900°	0.39	0.37	0.36	0.35	0.33	0.32	0.31	0.30	0.29	0.28	0.27
1000°	0.36	0.35	0.33	0.32	0.31	0.30	0.29	0.28	0.27	0.26	0.25

Standard Air Density, Sea Level, 70°F = 0.075 lb/cu ft at 29.92 in. Hg

**Table K-1 Air Density Correction Factor, d**

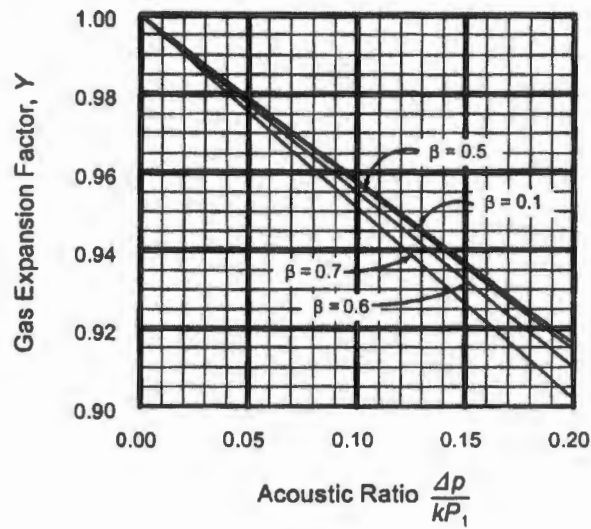
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## APPENDIX L



**FIGURE L-1 GAS EXPANSION FACTOR,  $Y$ , VERSUS ACOUSTIC RATIO,  $\Delta P/K P_1$**

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## APPENDIX M

Liquid	Specific Gravity 20/20	Action with Water Vapor	Vapor Pressure at 68°F mm Hg	Coefficient of Thermal Expansion			Melting Point deg F	Boiling Point deg F	Flash Point deg F
				per deg F × 10 <sup>6</sup>	per deg C × 10 <sup>6</sup>	Range deg F			
1. Ethyl Alcohol, C <sub>2</sub> H <sub>6</sub> O	0.7939	absorbs	43.9	600	1080	50-86	-179	173	55
2. Kerosine, 41 API at 60°F	0.8200 60/60	negligible	—	480	864	30-100	-20	300+	120
3. Ellison Gage Oil	0.8340 60/60	negligible	—	466	839	30-100	—	300+	140
4. Benzene (Benzol), C <sub>6</sub> H <sub>6</sub>	0.8794	negligible	74.7	687	1237	68	42	176	12
5. Butyl Cellosolve C <sub>8</sub> H <sub>18</sub> O <sub>2</sub> (Ethylene Glycol Monobutyl Ether)	0.9019	absorbs	0.85	—	—	—	-100	340	165
6. Water	1.000	—	17.5	115	2070	68	32	212	non-inflam.
7. Alcohol Glycol	1.000	absorbs	—	427	769	30-100	-60	173	70
8. Carbitol, C <sub>8</sub> H <sub>18</sub> O <sub>3</sub> (Diethylene Glycol Monocethyl Ether)	1.024-30	absorbs	—	—	—	—	-76	202	210
9. n-Butyl Phthalate, C <sub>16</sub> H <sub>22</sub> O <sub>4</sub>	1.0477	negligible	10 <sup>-4</sup>	433	780	—	-31	644	340
10. Ethylene Glycol (Glycol), C <sub>2</sub> H <sub>6</sub> O <sub>2</sub>	1.1155 20/4	absorbs slowly	0.09	354	638	68	+0.8	387	241
11. Halowax Oil	1.19-1.25	—	0.3-50°C	367	660	—	-24-42	—	203
12. Glycerine (Glycerol), C <sub>3</sub> H <sub>8</sub> O <sub>3</sub>	1.260 20/4	absorbs	low	281	505	68	64	554	320
13. o-Dibromobenzene, C <sub>6</sub> H <sub>4</sub> Br <sub>2</sub>	1.956 20/4	negligible	—	432	778	30-100	35.2	430	150+
14. 1, 1-Dibromoethane, C <sub>2</sub> H <sub>4</sub> Br <sub>2</sub>	2.089 20/4	negligible	34.7	532	958	30-100	40	230	75+
15. Acetylene tetrabromide, (tetrabromoethane), C <sub>2</sub> H <sub>2</sub> Br <sub>4</sub>	2.964 20/4	absorbs slightly	—	370	660	—	-4	—	non-inflam.
16. Mercury	13.570	negligible	0.0012	101	181.8	-20 to 250	-38	679	non-inflam.

Table M-1 Properties of Manometric Liquids

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**APPENDIX N****N.1 FLUID METER INSTRUMENTATION REFERENCES**

1. ASHRAE *Fundamentals Handbook* Chapter on Measurements and Instruments
  2. ASME, *Fluid Meters, Their Theory and Application*
  3. ASME *Power Test Code PTC 19.5*
  4. ASME MFC-3M (Part 1, Orifices) *Measurement of Fluid Flow in Pipes*, 1984
  5. *Principles and Practices of Flowmeter Engineering*, L.K. Spink, Foxboro, Co.
  6. ANSI/API 2530, *Orifice Metering of Natural Gas* (AGA Report #3)
  7. *Flow Measurement Engineering Handbook*, R.W. Miller, McGraw Hill (1982)
  8. ISA-RP 3.2 *Flange Mounted Sharp Edged Orifice Plates for Flow Measurement (For ANSI B16 flanges)*
  9. *The Measurement of Gas Flow*, January '83 Journal of the Air Pollution Control Association
  10. ASHRAE Standard 41.5, *Standard Measurement Guide—Engineering Analysis of Experimental Data*
  11. *Fan Engineering*, Buffalo Forge Co.
  12. Fischer & Porter Company Handbook No 10B9000, *Flowmeter Orifice Sizing*, 1978
  13. *Industrial Ventilation*, ACGIH, Chapter 9, Monitoring and Testing of Ventilation Systems.
  14. *Nondestructive Testing Handbook*, 2nd ed., 1982 Volume 1, Leak Testing, American Society for Nondestructive Testing and American Society for Metals.
- ACGIH, American Conference of Governmental Industrial Hygienists, Lansing, MI
- AGA, American Gas Association, Arlington, VA
- ANSI, American National Standards Institute, New York, NY
- APCA, Air Pollution Control Association, Pittsburgh, PA
- API, American Petroleum Institute, Washington, DC
- ASHRAE, American Society of Heating, Refrigerating and Air-Conditioning Engineers, Atlanta, GA
- ASME, American Society of Mechanical Engineers, New York, NY
- See building element leak test references and instrumentation in Appendix I.



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